AUTHOR CORRECTION OPEN Author Correction: Strain engineering of electro-optic constants in ferroelectric materials

Charles Paillard ¹, Sergei Prokhorenko¹ and Laurent Bellaiche^{1*}

npj Computational Materials (2019)5:102

; https://doi.org/10.1038/s41524-019-0233-9

Correction to: *npj Computational Materials* https://doi.org/ 10.1038/s41524-018-0141-4, published online 8 January 2019

After publishing this article, we realized that the calculation of the piezoelectric constants d_{ij} by Density Functional Perturbation Theory (DFPT), as explained in the methods described in the original version of the Supplementary Information, are incorrect in the case of a partially clamped situation. The correct methodology is to inverse the subspace matrix of elastic constants:

$$\begin{pmatrix} C_{33} & C_{34} & C_{35} \\ C_{43} & C_{44} & C_{45} \\ C_{53} & C_{54} & C_{55} \end{pmatrix}$$

This obtains the matrix of elastic compliances $(S_{ij})_{i,j=3,4,5}$ that applies to the mechanical directions that are unclamped in our geometry (i.e. the strains η_3 , η_4 and η_5 , corresponding to the relaxation of the out-of-plane axis). The relevant piezoelectric constants d_{ij} can then be calculated from: (1) the obtained reduced matrix of elastic compliances, and (2) the piezoelectric tensor e_{ij} obtained from DFPT, following the formula:

$$d_{ij} = \sum_{k=3,4,5} e_{ik} S_{kj}$$
 for $j = 3, 4, 5$

This correction to the methodology changes the d_{ij} calculated in Fig. 1d and Fig. 4a–d. The general findings of this work, that strainengineering of PbTiO₃ films can improve electro-optic constants, is unaffected, though the size of the effect is changed.

The following corrections have been applied to the HTML and PDF versions of the article:

- A new Supplementary Information file has been uploaded containing the above methodology in the "ELASTO-OPTIC CONSTANTS" section.
- 2. Following the change in methodology, Fig. 1d and Fig. 4a–d have been replaced with graphs showing the corrected data. The following sections of text have been revised due to the change in the data:

Abstract

"...via compressive strain to obtain extremely large piezoelectric constants." has been replaced with "...via compressive strain to obtain large piezoelectric constants."

Results section

"The piezoelectric constant d_{33} exhibits a strong increase to 618 p CN⁻¹ at this specific strain, as shown in Fig. 1d." has been replaced with:

"As a result of this effect, the piezoelectric constant d_{33} exhibits a large increase up to 80 p CN⁻¹ within the tetragonal phase, as also displayed in Fig. 1d."

"...and the large increase of the d_{33} piezoelectric constant at $\eta = -2.5\%$ (see Fig. 1d) induces very large unclamped EO constants $r_{33} \approx 95.8 \text{ pm V}^{-1}$ and $r_{13} = 127.1 \text{ pm V}^{-1}$ in Fig. 4b, c. In comparison, at zero strain, $r_{33} \approx 30.5 \text{ pm V}^{-1}$ and $r_{13} = 25.2 \text{ pm V}^{-1}$, and a three and five time increase (with respect to the bulk case) is thus achieved under the compressive strain $\eta = -2.5\%!$ " has been replaced with:

"the increase of the d₃₃ piezoelectric constant at $\eta = -2.5\%$ (see Fig. 1d) induces a plateau in r_{33} and a local maximum in the r_{13} which peaks at 24.1 pm V⁻¹. More interestingly, owing to the phase-transition induced divergence of d_{33} in the monoclinic phase, the unclamped EO constant r_{33} reaches as high as 124 pm V⁻¹, which is roughly four times its clamped value and represent a four time increase with respect to the zero strain value of the unclamped EO constant in the tetragonal phase (for which $r_{33} \approx 30.5 \text{ pm V}^{-1}$)."

Discussion section

"In PTO, that difference is small near the *P4mm–Cm* transition." has been replaced with:

"In PTO, that difference is small near the *P4mm–Cm* transition for the in-plane EO constants."

The following text has been added after "...without significantly affecting the EO constants in this strain region.":

"On the other hand, at the *Cm-lc2m* phase boundary, large outof-plane EO constants ($r_{33} \approx 124 \text{ pm V}^{-1}$) can be engineered due to the strain-induced divergence of the piezoelectric constant d_{33} . The latter comes from the flattening of the energy landscape to allow for polarization rotation from the [001] pseudo-cubic direction to [110] pseudo-cubic direction [19,20]. We thus show that strain, through the exploration of phases with different symmetries, allows fine tuning of the EO constants within a single material."

"On the other hand, the large unclamped r_{33} and r_{13} of PbTiO₃ films..." has been replaced with:

On the other hand, the anomalous behaviors of unclamped r_{33} and r_{13} of PbTiO₃ films..."

"Rather, they come from the strong peak in the piezoelectric constant d_{33} seen in Fig. 1d and thus represent an original way to improve EO constants..." has been replaced with:

"Rather, they come from the strong peak in the piezoelectric constant d_{33} seen in Fig. 1d and thus represent an original way to change EO constants..."

¹Department of Physics and Institute for Nanoscience and Engineering, University of Arkansas, Fayetteville, AR 72701, USA. . *email: laurent@uark.edu



"At such strain, Fig. 4c reveals that the unclamped EO constant r_{33} is \approx 57 pm V⁻¹, which is already twice as much as that of the standard EO material, LiNbO₃." has been replaced with:

"At such strain, Fig. 4c reveals that the unclamped EO constant r_{13} is \approx 23.4 pm V⁻¹, which is already more than twice as much as that of the standard EO material, LiNbO₃ [8]."

We thank David Vanderbilt for insightful comments on this matter.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit http://creativecommons. org/licenses/by/4.0/.

© The Author(s) 2019