

ARTICLE Rostromedial tegmental nucleus nociceptin/orphanin FQ (N/OFQ) signaling regulates anxiety- and depression-like behaviors in alcohol withdrawn rats

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Recent studies indicate that stimulation of the rostromedial tegmental nucleus (RMTg) can drive a negative affective state and that nociceptin/orphanin FQ (N/OFQ) may play a role in affective disorders and drug addiction. The N/OFQ precursor prepronociceptin encoding genes *Pnoc* are situated in RMTg neurons. To determine whether N/OFQ signaling contributes to the changes in both behavior phenotypes and RMTg activity of alcohol withdrawn (Post-EtOH) rats, we trained adult male Long-Evans rats, randomly assigned into the ethanol and Naïve groups to consume either 20% ethanol or water-only under an intermittent-access procedure. Using the fluorescence in situ hybridization technique combined with retrograde tracing, we show that the ventral tegmental area projecting RMTg neurons express *Pnoc* and nociceptin opioid peptide (NOP) receptors encoding gene *Oprl1*. Also, using the laser capture microdissection technique combined with RT-qPCR, we detected a substantial decrease in *Pnoc* but an increase in *Oprl1* mRNA levels in the RMTg of Post-EtOH rats. Moreover, RMTg cFos expression is increased in Post-EtOH rats, which display anxiety- and depression-like behaviors. Intra-RMTg infusion of the endogenous NOP agonist nociceptin attenuates the aversive behaviors in Post-EtOH rats without causing any notable change in Naïve rats. Conversely, intra-RMTg infusion of the NOP selective antagonist [Nphe¹]nociceptin(1-13)NH₂ elicits anxiety- and depression-like behaviors in Naïve but not Post-EtOH rats. Furthermore, intra-RMTg infusion of nociceptin significantly reduces alcohol consumption. Thus, our results show that the deficiency of RMTg NOP signaling during alcohol withdrawal mediates anxiety- and depression-like behaviors. The intervention of NOP may help those individuals suffering from alcohol use disorders.

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INTRODUCTION

Repeated cycles of excessive alcohol drinking and withdrawal induce a negative affective state and aberrant behaviors, including anxiety and depression. These psychiatric ailments act as negative reinforcers, promoting relapse in drinking and the development of alcohol use disorders (AUDs) [1]. Clinical and preclinical studies suggest hypofunction of mesolimbic dopaminergic neurons is a critical adaptation in depression [2, 3] and withdrawal from many abused drugs, including cocaine, morphine, and alcohol [4–6].

The rostromedial tegmental nucleus (RMTg), also known as the tail of VTA (tVTA), has become a hotspot in the addiction research field due to its physiological function as a GABAergic master brake for dopamine systems [7–9]. RMTg sends strong GABAergic projections to the VTA dopaminergic neurons. Increased RMTg neuronal activity will increase the inhibition of dopaminergic neurons [10]. RMTg involves punishment learning and aversive valence encoding [11–13] and is strongly influenced by many abused drugs [14–17]. Notably, RMTg inactivation relieves affective disorders such as anxiety and depression in rats

withdrawn from chronic alcohol exposure [10] and reduces alcohol consumption [18–20]. Still, we know little about the underlying molecular mechanisms.

N/OFQ is structurally related to the opioid peptide dynorphin A but binds with high affinity at NOP receptors [21]. N/OFQ and NOP are widely distributed throughout the brain at exceptionally elevated levels in limbic and limbic-related regions [22, 23]. The N/ OFQ is well accepted as a core component of the brain's antistress system by counteracting the endogenous corticotropinreleasing factor (CRF) action [24, 25]. Emerging evidence shows that N/OFQ-NOP receptor system involves regulating stress and addiction-related behavior [26, 27].

A series of studies also demonstrated that activation of N/OFQ system blunts ethanol's reinforcing and motivation effects across various behavioral measures, including ethanol intake [28–30], conditioned place preference [31], and stress-induced reinstatement [32]. Moreover, systemic N/OFQ administration attenuates anxiety-like behavior, producing more potent anxiolytic effects during early withdrawal in alcohol-dependent rats [33], suggesting

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N/OFQ-NOP system acts as an adaptive compensatory mechanism alleviating innate negative affective states.

A recent elegant study revealed the *Pnoc* gene is highly expressed in the RMTg [34]. Thus, N/OFQ may exert an anti-stress effect by inhibiting RMTg GABAergic neurons, suppressing their inhibition in VTA dopaminergic neurons, and consequently alleviating the hypofunction of dopaminergic neurons during alcohol withdrawal and the anxiety- and depression-like behaviors. The present study investigated the change of N/OFQ-NOP-related gene expression and the RMTg N/OFQ-NOP system's role in regulating affective behaviors associated with alcohol withdrawal in adult male Long-Evans rats.

METHODS AND MATERIALS

Animals

A total of 178 male Long-Evans rats (180–220 g at the start of the experiments) were obtained from the Laboratory Animal Center of Sun Yat-sen University and used in the investigation. For a complete description of the subjects, see Table 1 in the Supplementary information. All procedures were performed according to the Chinese National Health and Medical Research Council animal ethics guidelines and with the approval of the Sun Yat-sen University Animal Experimentation Ethics Committee. Rats were housed individually in home cages in a climate-controlled room (20 °C) under a 12-h light/dark cycle. Animals were start of each experiment. Food and water were available *ad libitum*.

Intermittent access to 20% ethanol in the two-bottle free choice (IA2BC) drinking procedure

As reported, we trained Long-Evans rats to drink alcohol in the IA2BC paradigm for 8-12 weeks [19, 35]. Briefly, rats had 24-h concurrent access to two bottles, one with 20% ethanol (EtOH, v/v) and another with water, starting on Monday afternoon. After 24 h, we replaced the ethanol bottle with a water bottle for the next 24 h. This pattern was repeated on Wednesdays and Fridays. On other days, the rats had unlimited access to two water bottles. In each ethanol-drinking session, the placement of the ethanol bottle was alternated to control for side preferences. The amount of ethanol or water consumed was determined by weighing the bottles before and after 24 h access. Ethanol consumption was determined by calculating grams of alcohol consumed per kilogram of body weight. Weekly "drip" averages (loss of fluid in a cage with no animal present) were subtracted from individual fluid intakes. The spillage was always <1.0 mL (<2.5% of the total fluid intake) for 24 h. The body weight of all rats was recorded weekly. Rats under this paradigm escalated their ethanol intake and preference [19].

Stereotaxic surgery and microinjection procedure

We performed surgery using a stereotaxic apparatus (RWD Life Science, Shenzhen, China) on rats under anesthesia, induced by ketamine/xylazine (80/20 mg/kg, i.p.) and maintained with isoflurane, as described previously [10]. For microinfusion chemicals to the RMTg, bilateral guide cannulas (62022, RWD Life Science, Shenzhen, China) were placed 2 mm above the RMTg in coordinates (in mm: A/P: -7.3 from Bregma; M/L: ± 0.3; D/V: -6.2 from the skull surface, coordinates was in reference to the Paxinos and Watson rat brain atlas [36]) and were secured on the skull using dental cement. To label VTA projecting-RMTg neurons, we delivered Green Retrobeads IX (300 nL/side) with a micropipette into the VTA (in mm: A/P: -5.7, M/L: ±0.8, D/V: -8.5) of rats [10] very slowly (50 nL/10 min), to minimize tissue damage. After injection, the micropipette remained in place for an additional 10 min to prevent backflow. Then, burr holes were sealed with sterile bone wax. Before recovery from anesthesia, rats were given meloxicam (1.0 mg/kg, s.c.) and returned to the homecage. We sacrificed the animals 9-11 days after tracer injections to obtain optimal tracer expression in the target region.

Four to five days before the behavior test, to minimize stress and habituate the subjects to the microinjection procedures, animals were brought to the experimental room and handled for 10 min/daily for 3 to 5 sessions until the test day. During this phase, animals became accustomed to the experimenter, the experimental room, and the manipulation procedure. Microinjections of NOP agonist or antagonist were given 5–10 min before behavioral tests. Obstructers were carefully

removed from a gently restrained rat, and the injectors were inserted bilaterally to a depth of 2 mm beyond the end of the guide cannulae. Drug or vehicle control was infused into the RMTg through a 26-gauge internal cannulae connected to the Hamilton 1.0 μ L syringe driven by a syringe pump (R462, RWD Life Science). Chemicals were infused over 1 min, and the injectors were left in place for an additional 60 s to allow for diffusion. After the removal of the injector, a new sterile obstructer was inserted.

Chemicals and application

N/OFQ, and selective NOP receptor antagonist [Nphe¹]nociceptin(1-13)NH₂ ([Nphe¹]NC(1-13)NH₂) were purchased from Tocris Bioscience (UK). Upon arrival, the chemicals were dissolved in the artificial cerebral spinal fluid (aCSF), and the aliquots were stored at -80 °C. On the test day, drugs were diluted with aCSF. N/OFQ (0.01 nmol/100 nL/side) and [Nphe¹]NC(1-13)NH₂ (5 nM/100 nL/side) were injected into the RMTg. Doses of these chemicals were purchased from Aladdin (China) and listed in the Supplementary information.

Behavioral procedure

The test battery included the elevated plus maze test (EPM), open field test (OFT), marble burying test (MBT) for measuring anxiety-like behaviors; sucrose preference test (SPT), and forced swimming test (FST) for measuring depression-like behaviors in naïve rats and rats at 48 h withdrawal from last drinking session (Post-EtOH) as previously described [10, 40]. The materials and methods of Supplementary information describe the exact condition of the above assays.

The effects of NOP agonist and antagonist on the anxiety- and depression-like behaviors were tested in separate subgroups of Naïve or Post-EtOH rats in a counterbalanced manner. For animals subjected to multiple behavioral tests, there was a 1-week interval between tests.

RNA fluorescence in situ hybridization (FISH) and image analysis

The RNA FISH procedure for Pnoc and Oprl1 was performed with the RNA FISH kit (GenePharma Inc. Shanghai, China), according to the manufacturer's instructions. Probes were transcribed from rat Pnoc cDNA (Accession No: NM_013007.1) or Oprl1 cDNA (Gene ID: 29256). Briefly, rats under deep anesthetized (with isoflurane) were euthanized by decapitation. The brains were immediately harvested and flash-frozen at -80 °C. The 30 μ m thick coronal sections were collected with a cryostat under RNase-free conditions, fixed in 4% PFA for 15 min at 4 °C. The frozen slices were rehydrated in the citrate buffer, followed by a 3 × 5-min rinse in PBS. Next, the slices were digested by proteinase K digestion at 37 °C for 20 min, followed by citrate buffer. After that, the slices were dehydrated through gradient ethanol for 3 min, subsequently by immense denaturation solution at 78 °C for 8 min, followed by gradient ethanol dehydration and complete air-dry. The hybridization was carried out overnight (12-16 h) at 37 °C using a hybridization buffer added with denatured 5' CY3-labeled Pnoc or Oprl1 probes. After washing, sections were mounted on glass slides using an antifade mounting medium and observed under the ZEISS LSM 780 confocal microscopy.

The percentages of retrobeads-labeled cells expressing *Pnoc/Oprl1* and *Pnoc/Oprl1* fluorescence intensity of retrobeads-labeled cells were measured using methods described previously [10, 41]. Briefly, the images of retrobeads-labeled cells (Green) in RMTg expressing *Pnoc* or *Oprl1* (Red) were obtained at 63 × magnifications. The retrobeads-labeled cells in RMTg expressing *Pnoc* or *Oprl1* within two groups (Naïve vs. Post-EtOH) were counted by two investigators who were blinded to the treatment history. The *Pnoc* or *Oprl1* fluorescence intensity of these retrobeads-labeled cells was measured in the red channel using NIH ImageJ software.

Laser capture microdissection (LCM), RNA extraction, and real-Time quantitative PCR

We used an LCM system (Leica Microsystems, Germany) to harvest RMTg brain tissue for the molecular experiment, based on the procedure published previously with minor modifications [42–44]. Briefly, deeply anesthetized rats (with isoflurane) were decapitated. Brains were rapidly harvested and placed in optimal cutting temperature (OCT) medium (Sakura Fineteck U.S.A., Torrance, CA), then cooled to -20 °C. The brain tissue blocks containing RMTg were cut using a cryostat to 30 µm coronal sections and mounted on room-temperature RNase-free polyethylene naphthalene (PEN) membrane

slides (Leica Microsystems, Germany). The slide was lightly stained by cresylic violet followed by gradient ethanol dehydration, then proceeded immediately to LCM. Laser parameters were set up as follows: magnification 5x; power, 56; aperture, 9; speed, 3; specimen balance, 17; pulse frequency 501. The anatomical identification of the RMTg region was according to the earlier study [45], and tissue was collected in the 0.5 mL Eppendorf tube and then stored at -80 °C until RNA extraction.

Total RNA from LCM samples was extracted using the RNeasy micro kit (cat. no. 74004, Qiagen Inc.). RMTg tissue was resuspended in 30 µL lysis buffer and vortexed for 30 s, then treated following the instructions of the RNeasy Micro Kit for Microdissected Cryosections, and the total RNA was eluted with 14 µL of RNase-free water. RNA was quantified using a spectrophotometer Nanodrop One (Thermo scientific) in absorbance ratios at 260–280 nm of 1.8–2.0. The cDNA was synthesized using the *EasyScript* All-in-One First-Strand cDNA Synthesis SuperMix for qPCR (One-Step gDNA Removal) (TransGen Biotech.). The primers were obtained from the previous study [33]. The quantitative PCR was performed on a CFX96 Touch[™] Real-Time PCR Detection System (Bio-Rad Laboratories, Hercules, CA, 1855196) using the *PerfectStart*TM Green qPCR SuperMix (TransGen Biotech.) under the following conditions: 3 s at 94 °C followed by 40 cycles of 5 s at 94 °C, 15 s at 60 °C and 10 s at 72 °C. Real-time PCR was performed in triplicate for each sample. The mRNA expression of genes of interest to the endogenous control gene *Gapdh* was compared between groups.

Immunofluorescence

We performed immunofluorescence to evaluate the effect of manipulation of NOP receptors on RMTg cFos expression. The detailed protocol is provided in Supplementary information, as we reported [10].

Statistical methods

All data were expressed as a mean \pm SEM (standard error of the mean). Animal sample sizes were chosen to ensure adequate statistical power based on our preliminary studies [10]. Animals were randomly assigned to different studies. Investigators were blinded to group allocations in the pharmacological behavioral experiments. Before the analysis, all data were checked for normality and homogeneity of variances. The relative mRNA expression of *Pnoc* and *Oprl1* were analyzed using an unpaired Student's *t*test between naive and Post-EtOH rats. The cFos immunoreactive cell number and behavioral tests, including drinking, anxiety- and depressionlike behaviors, between naive and Post-EtOH rats were analyzed using one or two-way repeated-measures (RM) ANOVA, followed by Bonferroni posthoc tests. Statistical significance was declared at *p* < 0.05.

RESULTS

Alcohol withdrawal impairs *Pnoc* and *Oprl1* in VTA-projecting RMTg neurons

The schematic in Fig.1A depicts the experimental design. The male Long-Evans rats consuming 20% alcohol under an 8-week IA2BC paradigm [10, 35], gradually and significantly escalated the ethanol intake and preference, reaching $5.40 \pm 0.68 \text{ g/kg/24} \text{ h}$ and $43.57 \pm 3.99\%$ at the 24th session, and maintained at the stable levels (Fig. 1B, C). Compared to the withdrawal physical signs score of naïve counterparts (0.94 ± 0.14), the rats subjected to 48 h of abstinence from the last drinking session (Post-EtOH) exhibited a higher score (1.35 ± 0.17) but lacked statistical significance between groups (p = 0.0915, Fig. S1A).

It has been shown that the *Pnoc* gene is highly expressed in the RMTg [34], and N/OFQ-NOP signaling is involved in AUD [26]. To determine whether chronic intermittent alcohol administration alters *Pnoc* and *Oprl1* expression in VTA-projecting RMTg neurons, we used the retrograde-tracing technique combined with RNA FISH (Fig. 1D, E). The retrobeads in VTA injection sites are depicted in Fig. S1B. An unpaired *t*-test revealed no difference between the number of VTA-projecting RMTg neurons in rats of Post-EtOH and naive groups (Fig. 1F). Interestingly, as shown in Fig. 1G, compared to Naïve rats, the FISH data showed the proportion of *Pnoc* expressing retrobeads labeled neurons was significantly lower in Post-EtOH rats (90.90 \pm 1.72% in Naive, 71.24 \pm 2.22% in Post-EtOH, *t* = 6.816, *p* < 0.0001), and the fluorescence intensity of *Pnoc* expressing retrobeads labeled neurons was significantly

decreased (t = 3.802, p = 0.0035, Fig. S1C). By contrast, the fluorescence intensity of *Oprl1* expressing retrobeads labeled neurons was elevated in Post-EtOH rats (t = 2.243, p = 0.0488, Fig. 1H), although there was no significant difference in the numbers of *Oprl1* expressing retrogradely labeled neurons (86.74 ± 1.80% in Naive, 85.79 ± 2.41% in Post-EtOH, t = 0.3157, p = 0.7542, Fig. S1D).

Meanwhile, we examined the correlation between ethanol intake and the number of retrobeads and *Pnoc* (or *Oprl1*) coexpressing RMTg cells (Fig. S1E, F) and the correlation between ethanol intake and *Pnoc* (or *Oprl1*) fluorescence intensity of VTAprojecting RMTg cells (Fig. S1G, H). There was a negative correlation trend between the *Pnoc* fluorescence intensity and ethanol intake (Fig. S1F, p = 0.0682), while a positive correlation trend was between *Oprl1* fluorescence intensity and ethanol intake (Fig. S1H, p = 0.0932).

Moreover, we collected RMTg tissues in Post-EtOH and Naïve rats using laser capture microdissection (See Method LCM) for the RT-qPCR. Figure 11 depicts the representative images of the coronal brain section captured in the RMTg area. RT-qPCR tests showed compared to the Naïve rats, *Oprl1* mRNA levels were increased (t = 2.894, p = 0.0159, Fig. 1J) while *Pnoc* levels were decreased (t = 3.295, p = 0.0080, Fig. 1K) in Post-EtOH rats.

In addition, to understand whether the signaling change was caused by long-term drinking or acute withdrawal, RMTg N/OFQ-NOP levels were also evaluated in a separate non-abstinent group of animals (Fig. S1I). The results showed no significant change in *Oprl1* mRNA level but (t = 0.1525, p = 0.8819) decrease in the *Pnoc* level (t = 4.428, p = 0.0013) in non-abstinent rats compared to Naïve counterparts (Fig. S1J, K). Thus, we infer the change in NOP levels occurs as a response to the removal of alcohol, in keeping with a previous report [33]. Together, these results indicate that decreased RMTg N/OFQ levels might result from escalated alcohol consumption. In contrast, the up-regulated RMTg NOP level might be derived from ethanol withdrawal in the RMTg-VTA circuit.

Stimulating RMTg NOP receptors mitigates anxiety-like behaviors in alcohol withdrawn rats

Anxiety symptoms are often observed after prolonged alcohol abuse, particularly during acute withdrawal [33, 46], which promotes relapse [46]. A recent study has shown that RMTg inhibition reverses withdrawal-induced anxiety-like behaviors [18]. To determine if NOP regulates withdrawal-associated anxiety-like behaviors, we infused the NOP receptor endogenous agonist N/ OFQ or the selective antagonist [Nphe¹]NC(1-13)NH₂ into the RMTg. We assessed the anxiety-like behaviors using the elevated plus maze test (EPM), open field test (OFT), and marble burying test (MBT) in naïve and Post-EtOH rats. The schematic in Fig. 2A shows the experimental design for pharmacological behavioral assays. Figure 2B illustrates the representative traces in the EPM and OFT (Fig. S2A). Figure 2C-F summarized the anxiety-like behaviors after N/OFQ administration in EPM and MBT, respectively. The anxiety level of post-EtOH rats was higher than that of their Naïve counterparts. Two-way RM ANOVA analysis revealed significant main effects of groups (Naïve vs. Post-EtOH) on the percentage time spent in open arms (OA) divide to closed arms (CA) of the EPM (Fig. 2C, $F_{1,16} = 10.13$, p = 0.0058), the duration in (Fig. S2B, $F_{1,17} = 26.53$, p < 0.0001) and entries to the center (Fig. S2C, F_{1.17} = 34.13, *p* < 0.0001) of OFT, as well as the number of marbles buried (Fig. 2F, $F_{1,11} = 61.24$, p < 0.0001), which are consistent with our previous reports [10, 40].

Importantly, activating RMTg NOP attenuated anxiety-like behaviors significantly in Post-EtOH but not naïve rats. The twoway RM ANOVA analysis revealed the main treatment effects (N/ OFQ vs. aCSF) on percentage time spent in OA/CA of the EPM (Fig. 2C, $F_{1,16} = 10.37$, p = 0.0053) and number of marbles buried (Fig. 2F, $F_{1,11} = 10.21$, p = 0.0085). Within Post-EtOH rats, compared to aCSF, intra-RMTg N/OFQ treatment significantly



increased the percentage of time spent in OA/CA in EPM (p = 0.0018) and decreased marbles buried in MBT (p = 0.0033). The two-way RM ANOVA did not find the main treatment effect on the locomotion test in OFT (Fig. S2D, $F_{1,17} = 0.9222$, p = 0.3504) and total entries to both arms in EPM (Fig. 2E, $F_{1,16} = 1.908$, p = 0.1861), suggesting that the anxiolytic effect might not be attributed to the drug-induced change in locomotor activity.

We next investigated the role of NOP for anxiety by bilateral intra-RMTg infusion of $[Nphe^1]NC(1-13)NH_2$. Figure 2G, H illustrates the representative behavioral traces of Naïve and Post-EtOH rats. Figure 2I–N summarized the anxiety-like behavior after [Nphe¹]NC (1-13) NH₂ administration in the EPM, OFT, and MBT. Two-way RM ANOVA revealed the group × treatment effects on percentage time spent in OA/CA in EPM (Fig. 2I, $F_{1,16} = 8.730$, p = 0.0093), duration in (Fig. 2L, $F_{1,15} = 7.477$, p = 0.0154) and entries to (Fig. 2M. $F_{1,15} = 9.462$, p = 0.0077) center area in OFT, as well as on number of marbles buried (Fig. 2N, $F_{1,11} = 11.74$, p = 0.0057) in MBT, and also revealed the main treatment effect on percentage entries to

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Fig. 1 Alcohol withdrawal decreases *Pnoc* while increasing *Oprl1* mRNA levels in RMTg neurons. A schematic of the experimental design depicting the morphology and molecular study time points. Male adult Long-Evans rats were trained to consume alcohol for >8 weeks in the two-bottle free choice and intermittent access to ethanol (20%, v/v) (IA2BC) paradigm. A separate cohort of drinking water served as Naïve control. Morphology and molecular experiments were conducted at 48 h withdrawal (WD) of the last drinking session (Post-EtOH). These rats' ethanol intake and preference were robustly escalated during the 8–10 weeks of drinking in the IA2BC paradigm. **B**, **C**. The representative fluorescence images show the RMTg (red box in the schematic picture). *Pnoc* (red, **D**) or *Oprl1* (red, **E**) mRNA expressed in the retrograde labeled VTA-projecting RMTg neurons in Naïve and Post-EtOH rats. Scale bar = 20 µm (left) and 1 mm (right). **F** no difference is found between the number of retobeads+ neurons in Post-EtOH rats and Naive control (8.50 ± 0.27 in Naive, 8.60 ± 0.30 in Post-EtOH, t = 0.2489, p = 0.8041, n = 6 rats/group). **G**, **H**, the histograms show the % of retrogradely labeled RMTg neurons expressing *Pnoc* is decreased, but *Oprl1* fluorescence intensity of the retrogradely labeled RMTg neurons is increased in Post-EtOH rats. I the representative images of coronal unfixed cryostat slices of RMTg area after laser capture microdissection (LCM) tissue removal. The areas sampled by LCM are seen as circular light patches. Scale bar = 1 mm; **J**, **K**, illustrates the increased *Oprl1* but decreased *Pnoc* relative mRNA levels in Post-EtOH rats. *p < 0.05, **p < 0.01, ***p < 0.001 vs. 1st session, one-way RM ANOVA followed by Bonferroni post hoc test, n = 12 rats; 'np < 0.05, ' $^{n}p < 0.01$, and ' $^{n,n}p < 0.0001$ vs. Naïve, two-tailed unpaired *t*-test. n = 6 rats/group. All data were presented in mean ± SEM.

OA/CA of EPM test (Fig. 2J, $F_{1,16} = 6.482$, p = 0.0216). The post-hoc test found that naïve rats that received [Nphe¹]NC(1-13)NH₂ showed a significantly lower percentage of time spent in (Fig. 2I, p = 0.0013) and entries to (Fig. 2J, p = 0.0409) OA/CA in EPM, shorter duration in (Fig. 2L, p = 0.0042) and fewer entries to the center area (Fig. 2M, p = 0.0007) in OFT, and buried more marbles (Fig. 2N, p = 0.0016) than those received aCSF infusion. However, post-hoc tests did not find a significant difference between aCSF and [Nphe¹]NC(1-13)NH₂ treatments on the above assays among Post-EtOH rats. Additionally, neither aCSF nor [Nphe¹]NC(1-13)NH₂ alters locomotor activity in OFT (Fig. S3E) and EPM (Fig. 2K) regardless of alcohol exposure history. The histological study confirmed all cannulae tips are within the RMTg (Fig. 2O, P).

In addition, considering the anatomical position of the VTA is close to the RMTg and has high NOP expression, we also tested the effect of intra-VTA N/OFQ on anxiety-like behaviors in a separate group of Post-EtOH rats (n = 10 rats) to examine whether RMTg N/OFQ infusion is possibly mediated by modulation of NOP receptors in neighboring nuclei (Fig. S3A). Unlike what we observed in the RMTg, intra-VTA N/OFQ failed to rescue the anxiety symptoms in EPM and OFT, even displaying an anxiogenic effect in MBT in Post-EtOH rats (Fig. S3B–I). Thus, these results suggest that RMTg NOP activation alleviates anxiety-like behaviors in Post-EtOH rats, while RMTg NOP inhibition produces anxiety-like behavior in Naïve rats.

Activating RMTg NOP reduces depression-like behaviors in alcohol-withdrawn rats

Depression is common among people who abuse alcohol. Anhedonia and depression contribute significantly to relapse [1]. We previously reported that RMTg inactivation alleviates depressive-like behaviors in Post-EtOH rats [10]. To determine whether the RMTg N/OFQ-NOP system is involved in depressive-like behaviors, we used the forced swimming test (FST) and sucrose preference test (SPT) in the Post-EtOH, and naïve rats (Fig. 3A).

As shown in Fig. 3B–E, the two-way RM ANOVA analysis revealed significant group main effects on duration of immobility (Fig. 3B, $F_{1,12} = 5.936$, p = 0.0314), climbing (Fig. 3D, $F_{1,12} = 7.260$, p = 0.0195) in FST, as well as the sucrose preference (Fig. 3E, $F_{1,11} = 11.13$, p = 0.0066) in SPT. The post-hoc tests found that Post-EtOH rats displayed a longer immobility duration (p = 0.0009) and shorter swimming period (p = 0.0303) than naive counterparts, indicating depression-like symptoms. Besides, Post-EtOH rats showed anhedonia, as reflected by the marked decline in sucrose preference (p = 0.0099). These observations are consistent with our earlier report [10].

Notably, the two-way RM ANOVA analysis revealed intra-RMTg infusion of N/OFQ had a group × treatment interaction effect on the duration of immobility (Fig. 3B, $F_{1,12} = 13.83$, p = 0.0029) and swimming (Fig. 3C, $F_{1,12} = 8.419$, p = 0.0132) and in FST, as well as a significant main treatment effect on sucrose preference (Fig. 3E, $F_{1,11} = 7.511$, p = 0.0192). Also, post-hoc tests revealed that within the Post-EtOH group but not the Naïve group, intra-RMTg N/OFQ

significantly shortened the immobility time (p = 0.0013), elevated swimming duration (p = 0.0137), and sucrose preference (p = 0.0343), compared to intra-RMTg aCSF infusion.

We next determined the role of RMTg NOP in depression-like phenotypes by local infusion of [Nphe¹]NC(1-13)NH₂ in Naïve and Post-EtOH rats. The two-way RM ANOVA revealed that intra-RMTg infusion of [Nphe¹]NC(1-13)NH₂ had significant main treatment effects on the duration of immobility (Fig. 3F, $F_{1,12} = 12.80$, p = 0.0038) and swimming (Fig. 3G, $F_{1,12} = 4.763$, p = 0.0497) in FST, and sucrose preference in SPT (Fig. 3I, $F_{1,13} = 15.15$, p = 0.0019). The post-hoc test found [Nphe¹]NC(1-13)NH₂ significantly enhanced immobility time (p = 0.0254) in FST in Naive rats.

In addition, like our observation mentioned in the anxiety assay, intra-VTA N/OFQ shows an aggregation trend of anhedonia and despair phenotypes in a separate group of Post-EtOH rats in the FST and SPT (Fig. S3J–N). Overall, these results suggest that RMTg NOP might control despair behaviors.

Activation of RMTg NOP reduces voluntary alcohol consumption of rats

After verifying the roles of RMTg NOP in anxiety- and depressionlike behaviors, we next tested the hypothesis that activating RMTg NOP might limit alcohol consumption. In a separate cohort of rats, the alcohol drinking levels were escalated and maintained at 5.38 ± 0.46 g/kg/24 h at the end of 24 sessions under the IA2BC paradigm, keeping with earlier reports [19, 35]. N/OFQ, [Nphe¹] NC(1-13)NH₂, or aCSF were infused into the RMTg 5–10 min before the onset of the drinking session (Fig. 4A). Figure 4B-I summarize the effects of these chemicals on ethanol consumption during 24 h following the infusion. Two-way RM ANOVA detected that intra-RMTg N/OFQ produced a significant treatment × time interaction effect on ethanol intake ($F_{3,72} = 4.095$, p = 0.0097, Fig. 4B), the main treatment effect on ethanol preference ($F_{1,72} = 4.424$, p = 0.0389, Fig. 4C). The post-hoc test revealed that N/OFQ reduces 24 h ethanol intake compared to aCSF (p < 0.0001) but did not alter water and total liquid intake. By contrast, [Nphe¹]NC(1-13)NH₂ did not affect ethanol and water intake (all p > 0.05). Nevertheless, intra-VTA N/OFQ infusion did not significantly affect alcohol drinking or water consumption tested in a separated cohort of Post-EtOH rats (Fig. S4). The result indicates that activating the RMTg NOP receptors could reduce ethanol consumption.

Manipulating RMTg NOP on RMTg cell activity

To further understand the cellular mechanisms underlying the behavioral changes, we measured neuronal activity with c-Fos immunoreactivity (c-Fos-IR) after intra-RMTg infusion of N/OFQ and [Nphe¹]NC(1-13)NH₂, respectively (Fig. 5A). As shown in Fig. 5B, the two-way ANOVA revealed that intra-RMTg chemical injection had a significant group × treatment interaction effect on RMTg c-Fos-IR cell numbers ($F_{2,67} = 5.012$, p = 0.0088). The post-hoc test found that compared to Naïve rats, c-Fos-IR cell number was significantly increased in the RMTg of Post-EtOH rats (p < 0.0001), which was



reduced after intra-RMTg N/OFQ infusion (p < 0.0001). By contrast, intra-RMTg N/OFQ infusion did not affect RMTg c-Fos-IR cell number among Naive rats (p = 0.8123). Conversely, compared to aCSF, [Nphe¹]NC(1-13)NH₂ elevated c-Fos-IR cell numbers in Naive rats (p = 0.0014) but not in Post-EtOH rats (p = 0.1991, Fig. 5C).

DISCUSSION

Here, we showed increased *Oprl1* and decreased *Pnoc* in VTAprojecting RMTg neurons of Post-EtOH rats. Activating RMTg NOP alleviated anxiety- and depression-like behaviors and reduced relapse-like drinking in Post-EtOH rats, while inhibiting RMTg NOP 914

Fig. 2 Effects of pharmacological manipulations of RMTg NOP on anxiety-like behaviors. Rats at 48 h alcohol withdrawal showed anxietylike behaviors measured using elevated plus maze (EPM) and marble burying test (MBT) compared to Naïve counterparts. The N/OFQ (0.01 nM/100 nL/site), the endogenous agonist of nociceptin receptor (NOP) or aCSF in the same volume, was microiniected into the RMTg of Naïve and Post-EtOH rats 5–10 min before the behavioral test. \mathbf{A} the schematic diagram shows the experimental timeline. \mathbf{B} illustrates a representative behavioral tracking trace of RMTg aCSF (blue) and NOP activating (purple) in Naïve (left) and Post-EtOH (right) rats. Closed arms of the EPM area are presented in solid lines grayed area. RMTg NOP agonist produced an anxiolytic effect, reflected by elevating the percentage of time spent in OA/CA (C) but without significantly altering the percentage entries to OA/CA (D) and total entries to both arms (E) in EPM, and the decreasing number of marbles buried (F) in MBT. The representative behavioral track was traced on EPM (G) and OFT (H) from RMTg aCSF (blue) and NOP antagonizing (green) in Naïve (left) and Post-EtOH (right) rats. RMTg infusion of [Nphe¹]NC(1-13)NH₂ (5 nM/100 nL/ site) elicits an anxiogenic effect, reflected by reducing the duration and entries to the center in OFT (I, J), reducing the percentage of time spent in and entries to OA/CA (K, L) without altering total entries to both arms in EPM (M), and the increasing number of marbles buried (N) in MBT. **O** Verification of cannulae placements. A representative image of cannulae tracks 2 mm above the RMTg at the level of -6.9 from Bregma. Scale bar = 1 mm (left) and 500 μ m (right). IPN interpeduncular nucleus, ml medial lemniscus, Pn pontine nucleus, cp cerebellar peduncle. **P** schematic drawings of coronal sections of the rat brain showing the tips of injector placements from individual Naïve (black circle) and Post-EtOH rats (blue square). p < 0.05, p < 0.01, p <vs aCSF within group, revealed by two-way RM ANOVA followed by Bonferroni's post hoc test. n = 6-10 rats/group. All data are shown as mean ± SEM.

elicited anxiety- and depression-like behaviors in Naïve rats. The changes in behaviors mirror changes in RMTg c-Fos expression. Significantly, activating RMTg NOP reduced ethanol consumption. These data suggest that the RMTg N/OFQ-NOP system involves negative affective behaviors during alcohol withdrawal and relapse-like drinking.

The RMTg, a GABAergic structure, sends dense projections to the midbrain dopamine neurons and plays significant roles in aversive learning, addiction, and other motivated behaviors [11, 13, 47]. We previously reported that increased RMTg neuronal activity drives affective disorders in Post-EtOH rats [10]. However, we know little about the underlying molecular mechanisms. The recently published RNA sequencing data showed that *Pnoc* was enriched in the RMTg [34]. Since N/OFQ is derived from the prepronociceptin encoded by *Pnoc*, we conducted the current study to determine whether RMTg *Pnoc* encoded protein N/OFQ regulates the negative aversive behaviors and RMTg activity.

To delineate the VTA-projecting RMTg neurons, we infused green retrobeads into the VTA [10]. The FISH and immunofluorescence results showed that *Pnoc* or N/OFQ were situated in the RMTg neurons, in keeping with a recent report [34]. We found about 80% *Pnoc* expressing cells were colocalized with VTAprojecting RMTg cells in the coordinate (in the range of $-6.8 \sim$ -7.1 mm from Bregma) (according to a previous report [45]). This is higher than that (55%) reported in a recent study, measured in the range of $-6.2 \sim -7.4$ mm from Bregma [34]. In addition, given the three-dimensional architecture of the RMTg, a significant challenge is to isolate RMTg tissue precisely using the conventional punch method. To circumvent this challenge, we applied LCM combined with qPCR [48] to strictly collect RMTg in frozen tissue to test how the N/OFQ-NOP signaling changed by chronic alcohol exposure and alcohol abstinence.

N/OFQ and its cognate receptors NOP are widely expressed in the brain. N/OFQ is a 17-amino acid neuropeptide structurally related to opioid peptide dynorphin A. Despite its structural homology, N/OFQ does not bind to mu, delta, and kappa opioid receptors, nor do opioid peptides activate NOP [21]. The roles of the N/OFQ-NOP system was extensively investigated in substance abuse, including alcohol addiction, which was well addressed in an elegant review article [49].

Regarding the alcohol-induced change of N/OFQ-NOP-related genes, significant increases and decreases in gene transcription in several brain regions in rats were reported, depending on various ethanol administration routes, ethanol intake, and rat lines used in the experiments [30, 33, 50]. Here, we show that alcohol withdrawal increased *Oprl1* mRNA levels in the RMTg. This finding is generally consistent with previous reports that enhanced the NOP gene expression in BNST of alcohol-preferring rats at 24 h abstinence [51] and CeA and lateral hypothalamus at 1 and 3 weeks of abstinence [33]. Besides, similarly increased NOP expression patterns and binding

activity was reported in alcohol-preferring rats [30]. Moreover, we speculate that the enhanced NOP gene expression may reflect a cascade of neuroadaptive change triggered by ethanol withdrawal since no significant RMTg NOP gene expression change between naïve and continuous drinking rats. Although recent human research based on PET study on alcoholic subjects revealed no change in NOP receptor had been found but does necessarily rule out alterations in nociceptin transmission in alcohol dependence [52].

In addition, the decreased trend of *Pnoc* mRNA levels is in keeping with previous studies showing decreased *Pnoc* and N/OFQ in human alcoholics [53] and withdrawal animals [1, 27, 54]. Considering the stressful situation of affective states during withdrawal mobilizes the N/OFQ system might occur in weeks [33], we interpreted the decrease as likely an initial transit change. A future study must evaluate the *Pnoc* change at extended periods during abstinence.

Additionally, compared to naïve animals, alcohol exposure decreased RMTg *Pnoc* expression whether the subjects underwent non-abstinence or withdrawal, while *Oprl1* only increased during withdrawal period rats with no significant change during non-abstinent. These observations imply a fluctuation change of RMTg N/OFQ-NOP signaling at the different stages of alcohol drinking.

Similar fluctuation patterns were also found in molecules involved in alcohol drinking, including growth factors that modulate alcohol consumption [55, 56]. For example, *Gdnf* acts as an alcohol-responsive gene, showing up-regulation during short-term alcohol intake but down-regulated during withdrawal from excessive alcohol intake in VTA [57]. Functionally, *Gdnf* exerts acute inhibitory effects on reducing alcohol consumption and seeking behavior [58]. Besides, *Bdnf* displays a more complicated expression pattern at the different stages of alcohol exposure in various brain regions. Generally, *Bdnf* signaling in dorsolateral striatum activation is required for maintaining moderate drinking while suppressing high intake in corticostriatal circuitry [55].

Additionally, N/OFQ appears to buffer the brain stress state, influencing the vulnerability to the development and perpetuation of addiction [1]. We observed high anxiety and depression levels in Post-EtOH rats, keeping with our previous reports [10, 46]. Given that these rats were unhabituated to the anxiety assessment apparatus, this observation suggests that rats chronically exposed to alcohol have a higher innate sensitivity to stress induced by novel circumstances than naive animals [59]. Moreover, we noticed that these aberrant behaviors were more evident in Post-EtOH rats than in the Naïve counterparts or the rats in the IA2BC paradigm without abstinence [46], suggesting that alcohol withdrawal plays a significant role in affective disorders.

We used local pharmacology to determine the function of the RMTg N/OFQ-NOP system in the affective disorders associated with alcohol withdrawal. Activating RMTg NOP shows potent anxiolytic and antidepressant effects in Post-EtOH rats. Inactivating RMTg NOP



Fig. 3 Effects of pharmacological manipulations of RMTg NOP on depression-like behaviors. A the schematic diagram shows the experimental timeline. Rats at 48 h alcohol withdrawal showed despair and anhedonia, measured by forced swimming test (FST, **B**–**D**) and sucrose preference test (SPT, **E**) compared to Naïve rats. Intra-RMTg infusion of N/OFQ (0.01 nM/100 nL/site) significantly shortened immobility (**B**), increased the swimming time (**C**), and increased the preference for sucrose (**E**) in Post-EtOH rats. Conversely, [Nphe¹]NC(1-13)NH₂ (5 nM/ 100 nL/site) infusion significantly increased immobility (**F**) but did not alter other FST behaviors (**G**, **H**) and sucrose preference (**I**) in Naïve rats. J schematic drawings of coronal sections of the rat brain showing the tips of injector placements from individual Naïve (black circle) and Post-EtOH rats (blue square). ${}^{#}p < 0.05$, ${}^{#*}p < 0.001$ and ${}^{####}p < 0.0001$ vs Naïve group; ${}^{*}p < 0.05$, ${}^{**}p < 0.01$ and ${}^{***p} < 0.001$ vs aCSF within group, revealed by two-way RM ANOVA followed by Bonferroni's post hoc test. n = 6-8 rats/group. All data are shown as mean ± SEM.

elicits anxiety while partly extending immobility in forced swim tests in Naïve animals. These results suggest NOP and endogenous N/ OFQ in the RMTg might regulate negative moods. This idea is supported by the view that the N/OFQ system plays a central role in the anxiolytic effect against stress response [60], as evidenced in several experimental models [33, 60–63], utilized by intracranial infusion of N/OFQ [61, 64–66] or NOP exogenous agonist [67–70]. Moreover, the current data is consistent with previous reports showing that N/OFQ reduces symptoms and anxiety during alcohol withdrawal [30, 71], particularly in dependent animals [72].

Interestingly, intra-RMTg administration of the NOP antagonist did not alter the percent time spent and the entries of OA/CA in EPM, and the times of entries and time in the central area in the OFT of Post-EtOH rats. Notably, these parameters were low even when these animals received aCSF injections. Thus, it is possible that alcohol withdrawal induces a floor effect that did not leave enough room for



Fig. 4 Pharmacological activating RMTg NOP decreases alcohol consumption in Post-EtOH rats. A the schematic diagram shows the experimental timeline. The male adult Long-Evans rats were trained to consume alcohol under the IA2BC drinking paradigm for >10 weeks until the ethanol intake reached and was maintained at plateau levels. These rats were randomly divided into 2 subgroups to test the effect of pharmacological manipulation of RMTg NOP on drinking behaviors. Bar graphs summarizing the change of ethanol drinking behaviors from 20 min to 24 h in response to RMTg NOFQ (B–E) and [Nphe¹]NC (1-13)NH₂ (**F–I**) administration. **J** schematic drawings of coronal sections of rat brains showing the tips of injector placements from individual Naïve (black circle) and Post-EtOH rats (blue square). ****p < 0.0001 vs. aCSF, revealed by two-way RM ANOVA followed by Bonferroni's post hoc test. n = 10 rats/group. All data are shown as mean ± SEM.

the chemical to act. Conversely, Post-EtOH rats that received aCSF injection buried a high number of marbles, which may cause a ceiling effect that did not leave enough room for the chemical to act.

Our result differs from a previous study that reported that NOP receptor antagonists administered into the lateral ventricle were anxiolytic [70]. The difference suggests that the anxiolytic function of RMTg NOP receptors is overridden by the anxiogenic effects somewhere else in the brain. Like, dorsal raphe and amygdala NOP activation suppress local 5-HT and NE levels, displaying depressogenic results [73].

Relapse drinking is a significant hurdle in AUD treatment and is driven by the negative affective state accompanying alcohol withdrawal, characterized by depression and anxiety phenotypes [74]. Decreased alcohol consumption following intra-RMTg infusion of N/OFQ may be resulted from alleviating anxiety and depression. We did not evaluate the effect of RMTg NOP activation on consummatory behavior. However, since nociceptin injection did not change water intake or locomotion, nociceptin-induced decreased alcohol consumption is unlikely to be secondary to consummatory suppression or locomotion interference.

A series of preclinical studies have reported that N/OFQ is efficacious against the rewarding property of alcohol, shedding light on its potential therapeutic effect in AUD. Systemic N/OFQ administration reduced alcohol intake in alcohol-preferring rats and blunted the reinforcing and motivating behaviors in the conditional place preference paradigm and operant self-administration of ethanol [29, 31, 32]. Remarkably, intracerebroventricular N/OFQ infusion significantly inhibited relapse drinking induced by foot shock in male Wistar rats [75], highlighting that N/OFQ has treatment potential in controlling anxiety-related drinking behavior.

It has been well accepted that alcohol withdrawal produced a deficit in dopamine in which basal dopamine concentration and tonic dopamine signals were disproportionately lower than the phasic dopamine signals, triggering the pursuit of abused substances [1]. Glover et al. and we previously showed that increased RMTg activity



Fig. 5 Pharmacological manipulation RMTg NOP regulates c-Fos expression. A The schematic diagram shows the experimental timeline. **B** Representative images of the c-Fos-immunoreactivity (IR) in the RMTg in the Naïve and 48 h withdrawn rats (Post-EtOH), in response to aCSF, N/OFQ, and [Nphe¹]NC(1-13)NH₂. **C** The bar graph illustrates the RMTg c-Fos-IR cell numbers of Naive and Post-EtOH. **p < 0.01 vs. aCSF within the group; $^{A}p < 0.05$, $^{\wedge\wedge\wedge}p < 0.0001$ vs. N/OFQ within the group, ####p < 0.0001 vs. Naïve aCSF, revealed by two-way ANOVA followed by Bonferroni's post hoc test. n = 5 rats/group. Scale bar = 100 µm. All data are shown as mean ± SEM.

could account, at least partially, for the reduced activity of dopamine neurons during acute alcohol withdrawal [10, 18].

NOP inhibits VTA neurons by hyperpolarization [76]. Thus, NOP may also inhibit RMTg cells by the exact mechanism. The observation supports the possibility that N/OFQ normalized RMTg c-Fos-IR numbers increased during acute alcohol withdrawal [10]. A future study using the patch-clamp technique will determine whether NOP causes hyperpolarization of RMTg cells.

Importantly, to exclude the effect of N/OFQ infusion into the RMTg is not mediated by modulating NOP receptors in neighboring nuclei, we assessed the effect of intra-VTA N/OFQ infusion in Post-EtOH rats. Unlike what was displayed by intra-RMTg infusion, intra-VTA N/OFQ causes an anxiogenic action, and depressogenic action, without affecting ethanol drinking. There is recent evidence that NOP antagonism in the VTA attenuates alcohol drinking and relapse [77, 78] and that N/OFQ acts as a rewarding stop signal in the VTA [76]. These studies suggest that the VTA NOP receptors might have a complicated role in reward-related behaviors due to the complex heterogeneity of neuron types and anatomical localization in the VTA. Overall, these opposite behavioral phenotype patterns might implicate the distinct regulation trend of NOP between RMTg and VTA in affective disorders, supporting the notion of the role of RMTg as a mirror VTA function [7, 8].

N/OFQ has a general inhibitory effect on excitatory neurotransmissions through pre-and post-synaptic mechanisms. NOP activation by nociceptin and its analogs might negatively impact adenylyl cyclase, activating inward rectifying K⁺ channels and inhibiting Ca²⁺[79]. We speculate that intra-RMTg N/OFQ alleviates anxiety- and depression-like behaviors might involve a reduction of glutamatergic transmission from the LHb, which were elevated in Post-EtOH animals [40, 80], although this assumption needed to be tested in future studies.

Lastly, a limitation of the current study is that we tested only the males, although few previous studies reported sex differences in NOP receptors regulating ethanol drinking [49]. Future study in females is needed to enhance the translational value of preclinical findings [81].

In summary, we report that alcohol withdrawal decreases *Pnoc* but enhances *Oprl1* mRNA levels in the VTA-projecting RMTg neurons in male rats. Pharmacological manipulation RMTg NOP modulates cFos expression and affective mood disorders in animals withdrawn from chronic excessive alcohol drinking and reducing relapse-like drinking. These results highlight the role of the RMTg-VTA circuit in the NOP receptors modulating alcohol drinking and its associated anxiety and depression symptoms.

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AUTHOR CONTRIBUTIONS

WFL contributed to data collection, analysis, and interpretation and wrote and edited the manuscript. WFL, ZHR, YT, YXF, and SZS performed behavioral tests. WFL, RXD, JWH, YLM, BZ, and YXZ conducted molecular biology and histology experiments. WHZ and JHY contributed to the interpretation of data and editing of the manuscript. RF contributed to experimental design and conception, data interpretation, editing, and final approval of the manuscript.

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COMPETING INTERESTS

The authors declare no competing interests.

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