# Prevalence of four Mendelian disorders associated with autism in 2392 affected families

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Autism spectrum disorder (ASD) is a neurobehavioral disorder with a heterogeneous genetic etiology. Based on the literature, several single-gene disorders, including Rett syndrome, Smith-Lemli-Opitz syndrome, PTEN hamartoma tumor syndrome and tuberous sclerosis, are associated with a high prevalence of ASD. We estimated the prevalence of these four conditions in a large cohort of patients using whole-exome sequencing data from 2392 families (1800 quads and 592 trios) with ASD from the National Database for Autism Research. Seven patients carried a pathogenic or likely pathogenic variant in either *TSC1*, *TSC2*, *PTEN*, *DHCR7* or *MECP2*, with 6 out of 7 reportable variants occurring in *PTEN* (1 in 399).

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## INTRODUCTION

According to the literature, several well-described disorders are associated with a high prevalence of autism spectrum disorder (ASD), including Rett syndrome, Smith-Lemli-Opitz syndrome, PTEN hamartoma tumor syndrome and tuberous sclerosis (TSC). Patients with TSC, Rett syndrome and Smith-Lemli-Opitz syndrome have a prevalence of ASD in the range of 16-61%, 17.5-58%, 1,2 and 50-60% 3,4 respectively. Interestingly, up to 80-90% of patients with Smith-Lemli-Opitz syndrome appear to fulfill some ASD criteria.<sup>5</sup> In the Diagnostic and Statistical Manual of Mental Disorders (DSM 4), Rett syndrome was a subtype of autism. In DSM 5,6 however, an individual with Rett syndrome is not automatically assumed to have a diagnosis of ASD. Due to the essential difficulty to assess the precise prevalence for invisible mental disorder(s), the prevalence of each of these disorders within ASD cohorts is unclear, making the indication for routine molecular testing in all patients with ASD uncertain. We have analyzed whole exome sequencing (WES) data from 2392 families with ASD for variants within the genes causing the above-mentioned conditions. Our goal was to assess the prevalence of patients with genetic alterations in the genes corresponding to the four conditions targeted based on a large cohort of individuals diagnosed with ASD who do not exhibit severe neurological defects.

### MATERIALS AND METHODS

Whole-exome data from 2392 families (1800 quads and 592 trios) with ASD, obtained from the National Database for Autism Research<sup>7</sup> (NDAR), were analyzed for pathogenic or likely pathogenic variants in *TSC1*, *TSC2*, *PTEN*, *DHCR7* and *MECP2*. After McGill REB approval, variant calls were downloaded from NDAR (study 348) and were annotated using the reference genome hg19/GRCh37. Rare variants (minor allele frequency, MAF,  $\leq 0.005$ ) with a functional impact

(defined as 'missense', 'frameshift', 'stopgain', 'stoploss', 'startloss' and 'splicing') were selected and manually inspected using the Integrative Genome Viewer (IGV; study 334). Variant calls that were not supported by visualization in IGV were removed from further analysis. Variant classification was performed in accordance with ACMG guidelines.<sup>8</sup> Clarifications and adaptations of the criteria are located in Supplementary Table S1.

### RESULTS

Please refer to Table 1 and Supplementary Section for the variants identified.

# DISCUSSION

Based on the selection criteria, 148 variants in the genes targeted were classified. Following variant classification, seven patients were found to carry a variant that was likely responsible for the patient's phenotype in one of these five genes (Table 1), with the majority (6 out of 7) occurring in *PTEN*. It is possible that this prevalence is an underestimate, as ~ 10% of Cowden syndrome patients with negative WES and copy number variant (CNV) analysis have been found to harbor pathogenic promoter variants.<sup>9</sup> We did not have access to the clinical information of the patients in the cohort for cephalic measurements.

No patients were determined to harbor biallelic *DHCR7* variants (Supplementary Table S3). Twenty-eight patients carried a pathogenic or likely pathogenic *DHCR7* variant, giving a carrier frequency of 1 in 85. If variants of uncertain significance (VUS) are included, the carrier frequency is ~ 1 in 40. Carrier frequency estimates for *DHCR7* are ~ 1-2% for individuals of Caucasian ancestry.<sup>10</sup> Therefore, it is possible that some of the identified VUS may in fact be disease-causing alleles.

No causative mutations for Rett syndrome were identified in our study. However, one VUS in *MECP2* was particularly notable. A male

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l able I	Pathogenic o	r likely pathoge	enic variants i	identified in	PIEN, IS	SC1, ISCZ and MECP	2				
i	ii iii	iv	2	vi	vii	viii	ŗ,	×	xi	xii	xiii
	Nucleot,	ide		Proband					ExAC	SIFT/	
Gene P.	t # (amino a	cid) Chr	Inheritance	zygosity	Sibling	Pubmed reference	Reported phenotype	Classification	MAF	PolyPhen2	ACMG
PTEN 14	006 c.80-1G	>A 10:896537	781 Maternal	Het	NA	NA	NA	Likely	0		VS1, PM2
	(none	(						pathogenic			
PTEN 14	332 c.149T:	>C 10:89653£	351 Maternal	Het	NA	24375884	Macrocephaly, developmental delay,	Likely	0	0.11, T/1, D	PM(PS4), PM2, PM6, PP2
	(p.150 <sup>-</sup>	1)					macrosomia	pathogenic			
PTEN 13	991 c.274G:	>A 10:896927	790 De novo	Het	Not	22320991, 21828076	Cancer	Pathogenic	0	0, D/1, D	PS3, PM2, PM6, PP2, PP3
	(p.D92)	(N			present	Functional					
PTEN 14	433 c.392C:	>T 10:896925	308 De novo	Het	Not	23160955	Macrocephaly, autism	Pathogenic	0	0, D/1, D	PS3, PM2, PM6, PM(PS4),
	(p.T13	(11)			present	21828076 Functional					PP2, PP3
PTEN 12	986 c.397G:	>A 10:896925	913 Maternal	Het	NA	9765621, 11875759	Cancer	Likely	0	0.11,	PS3, PM2, PP2
	(p.V135	31)				Functional		pathogenic		T/0.997, D	
PTEN 11	390 c.500C:	>A 10:897118	382 De novo	Het	Not	23160955	Autism	Pathogenic	0	0, D/ 0.999,	PM(PS4), PM2, PM6, PP2,
	(p.T167	(N)			present					Ω	PP3
<i>TSC2</i> 12	621 c.4738C	>T 16:21362	69 De novo	Het	NA	22495309	Autism	Likely	1.63E-05	0.01,	PM2, PM6 (X2), PP3
	(p.R158(	(MC						pathogenic		D/0.999, D	
Abbreviation	s: ACMG, Americar	1 College of Medical	Genetics and Geno	mics: ExAC MAF	- 0-not identi	ified in 460 000 individuals: H	let. heterozygous: NA. not available: SIFT score	es: T. tolerated: D.	deleterious:	PolvPhen2 score	s: D. damazinz.

proband was hemizygous for the variant c.691G>A, p.G231R, which has been previously reported in a female patient with seizures and intellectual disability. This variant is absent from population databases.<sup>11</sup> While this variant was present in the proband's unaffected mother and sister, males carrying *MECP2* variants have been diagnosed with X-linked mental retardation (OMIM 300260). Therefore, this variant has been classified as a VUS. An additional 38 patients carried one of 36 heterozygous or hemizygous VUS in either *PTEN*, *TSC1*, *TSC2* or *MECP2* (Supplementary Table S2). One patient (12 621) harbored both a likely pathogenic *de novo* variant and a paternally inherited VUS in *TSC2*.

De novo status was observed in 3 out of the 6 *PTEN* variants and the one *TSC2* variant. In total, six variants have been previously reported in patients, including four reported in patients with ASD or related phenotypes. The estimated frequency of unselected patients with autism caused by a variant in the *PTEN* and *TSC2* gene is ~1 in 399 and 1 in 2392 respectively. In addition, two of the probands were previously determined to carry large pathogenic *de novo* duplications of *TSC2* (Supplementary Table S4).<sup>12</sup> Only 53% of the probands analyzed in the present study had been previously tested for CNVs; therefore, at least 3 of 2392 probands (1 in 797) carried a *TSC2* pathogenic variant; this appears to be more frequent than in the general population, where TSC has been reported to occur in ~1 in 5800 live births.<sup>13</sup>

There are several limitations to this study. This analysis was performed on previously obtained research WES data and variants with low coverage could not be confirmed by Sanger sequencing; in addition, some variants may have been missed due to low coverage in certain regions, or due to their presence in regions located outside of the exome. Taking this into consideration, our analysis was not consistent with a high prevalence of the four targeted disorders in ASD patient cohorts. Although this study was not designed to be an evaluation of WES in the clinical setting, this manuscript highlights the issue of uncovering a large burden of VUS in the context of making a small number of clear diagnoses. Despite our analysis being limited to five genes, 54 unique VUS that require further exploration (and resources) were identified. Therefore, preparation for efficient VUS interpretation must be considered to ensure that widespread implementation of WES in ASD will be cost-effective. This study emphasizes the importance of data sharing to further scientific advancement in the field of genomics, as previously published studies using the NDAR data set also illustrate.14-17 It also emphasizes the need for a large prospective study evaluating the prevalence of different genetic syndromes in a large group of patients with ASD. Ideally, detailed phenotypic information should be available for these patients and individuals with severe neurological deficits should not be excluded, which were inevitable limitations of the current study.

### CONFLICT OF INTEREST

The authors declare no conflict of interest.

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