

***Nell-1* induces acrania-like cranioskeletal deformities during mouse embryonic development**

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We previously reported *NELL-1* as a novel molecule overexpressed during premature cranial suture closure in patients with craniosynostosis (CS). *Nell-1* overexpression also results in premature suture closure/craniosynostosis in newborn transgenic mice. On a cellular level, increased levels of *Nell-1* induce osteoblast differentiation and apoptosis. In this report, mice over-expressing *Nell-1* were examined during embryonic development as well as shortly after birth for further analysis of craniofacial defects including neural tube defects (NTDs). The results demonstrated that overexpression of *Nell-1* could induce acrania at relatively late gestation stage (E15.5) in mouse embryos, through massive apoptosis in calvarial osteoblasts and neural cells. The induced apoptosis was associated with an increase in Fas and Fas-L production. In addition, transgenic E15.5 and newborn transgenic mice with the CS phenotype displayed distortion of the chondrocranium associated with premature hypertrophy and increased apoptosis of chondrocytes. These findings were also verified *in vitro* with primary chondrocytes transduced with *AdNell-1*. In conclusion, *Nell-1* overexpression can induce craniofacial anomalies associated with neural tube defects during embryonic development and may involve mechanisms of massive apoptosis associated with the Fas/Fas-L signaling pathway. *NELL-1*: used when describing the human gene; *NELL-1*: used when describing the human protein; *Nell-1*: used when describing the rodent gene; *Nell-1*: used when describing the rodent protein

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Acrania is defined as partial or complete absence of flat bones of the cranial vault with complete, but abnormal development of the cerebral hemisphere.¹ It has been controversial to use the term acrania as a synonym of acalvaria, which is one type of neural tube defect (NTD).² Previously, NTDs were divided into two types, open (neuralization defects) and closed (postneurulation defects), by Lemire.³ The

open NTDs such as anencephaly and myelomeningocele occur prior to closure of the neural tube in earlier embryonic stages, while the closed ones including acalvaria, encephalocele, and lipomeningocele arise after closure of the neural tube.³

In humans, anencephaly, characterized as brain absent above the orbit with bulging eyes,¹ represents one of the most common NTDs and occurs in 0.1–0.9% of all births.² Fusion of the neural tube requires coordination of several biological processes including cell migration, proliferation, and apoptosis.⁴ More than 80 mouse mutants display NTDs⁵ and at least 50 single gene mutations have been reported to cause NTDs.⁶ Although the exact etiologies of these defects are not completely known, both genetic and environmental factors have

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been implicated.⁴ Acalvaria is a rare congenital postneurulation defect classified etiologically as primary and secondary. Primary acalvaria is distinguished by a complete absence of calvarial bones, dura mater, and associated muscles in the presence of the cerebral hemisphere, normal cranial skin, normal skull base, and normal facial bones.⁷ It was postulated that the failure of differentiation of specific portions of the cephalic neural crest or the failure of mesodermal migration deep within the ectoderm might be involved in this development.^{8–10} Secondary acalvaria was usually seen with amniotic bands syndrome and anencephaly or its precursor exencephaly. It is obvious that primary acalvaria differs from acrania by definition, but acrania is also associated with anencephaly or exencephaly.¹¹ Moreover, it is clinically important to differentiate acalvaria from acrania *in utero* by sonography as the brain can be normal and potentially treatable in the former, but the latter usually progresses to anencephaly.¹¹

During early embryogenesis, pluripotent cranial neural crest (CNC) cells arise from the lateral edges of the neural folds and migrate through the lateral ridges of the neural plate.^{12–14} Eventually, CNC cells become mesenchymal cells that form the components of the craniofacial structures, including the orofacial prominences and mandibular arch.^{15,16} Unlike trunk neural crest cells, CNC cells differentiate into both cartilage and bone.¹⁷ Alterations in CNC cell fate are associated with craniofacial deformities, such as Treacher Collins syndrome.¹⁸ In this syndrome, patients have a *Tcof1* loss of function mutation that induces massive apoptosis in the neuroepithelium of the cranial neural folds and the neural tube.¹⁹ Owing to species-specific differences in craniofacial development, *Tcof1*^{+/-} mice exhibit exencephaly and craniofacial skeletal deficiencies.¹⁹ Furthermore, *in vitro* and *in vivo* studies have demonstrated that signaling through the CD95/Fas pathway resulted in increases apoptosis in human and murine osteoblasts.^{20–22}

We previously reported the isolation and identification of a novel gene, *NELL-1*, in human unilateral coronal craniosynostosis (CS)²³ (concurrently identified by Watanabe *et al*²⁴). *NELL-1* is a secretory protein containing 810 amino acids.^{25,26} *NELL-1* encodes a secretory signal peptide sequence, an NH₂-terminal thrombospondin (TSP)-like module, five von Willebrand factor C domains, and six EGF-like domains.²⁷ *NELL-1* expression is upregulated in fused or prematurely fusing coronal sutures as compared to wild-type coronal sutures. The *NELL-1* gene is preferentially expressed in neural crest derived tissues including intramembranous cranial bone and neural tissue.²³ Furthermore, our *Nell-1* transgenic mouse model demonstrates craniosynostosis phenotypes of varied degrees and increased apoptosis in osteoblasts.²⁸ Also, *AdNell-1* transduced MC3T3-E1 osteoblasts undergoing apoptosis demonstrate increased *Fas* expression.²²

Although the precise molecular mechanism of *Nell-1* is not well understood, the current study further examines the role of *Nell-1* in the development of NTDs of *Nell-1* transgenic mice, since we have observed an exencephaly-like phenotype during embryogenesis.²⁸ We have further confirmed that acrania-like cranioskeletal deformities occur among E15.5 *Nell-1* transgenic mouse embryos by examining more litters and different gestational stages. In addition to severe CS, several newborn transgenic mice displayed encephaloceles characterized by occipital bone defects with brain herniation. Evidence of increased Fas signaling in apoptosis of other tissues, both neural and cartilaginous, has been detected,^{29–31} but has not yet been associated with craniofacial deformities. In addition, the similar spatiotemporal expression pattern of both *Nell-1* and *Fas/Fas-L*, along with the occurrence of apoptosis in transgenic mouse embryos, indicated a possible mechanism of *Nell-1* induced apoptosis in mouse craniofacial defects.

Materials and methods

Transgenic Newborn and Embryonic Mice with Overexpressing *Nell-1*

Transgenic mice overexpressing *Nell-1* were constructed and genotyped by PCR as reported previously.²⁸ Three litters of newborn mice from F1 transgenic mice mated with wild-type littermates were examined for NTDs. The breeding strategy for mouse embryos at different stages in this study was set timed-mating between heterozygous female transgenic mice with age-matched male wild-type mice. The presence of a vaginal plug was defined as 0.5 dpc or gestational day 0.5 (E0.5). Two litters of E12.5 and four litters of E15.5 embryos were collected and genotypes were confirmed by PCR as previously described.²⁸ Pregnant mice were anesthetized and whole embryos were removed and fixed immediately in 4% paraformaldehyde. Hematoxylin and eosin (H&E) staining was performed on paraffin embedded tissues using standard protocols.²⁸

Immunohistochemistry

Paraffin embedded sections were incubated with anti-*Nell-1* antibody,²⁶ anti-Fas antigen, or anti-Fas-ligand antibodies (Santa Cruz Biotechnology, Santa Cruz, CA, USA) at a 1:100 dilution. ABC complex (Vector Laboratories, Burlingame, CA, USA) was applied on the sections following the incubation with biotinylated secondary antibody (Dako Corporation, Carpinteria, CA, USA). DAB in brown color or AEC plus substrate in red color (Dako Corporation) was used as a chromagen. The sections were counterstained with light Hematoxylin. The negative control with PBS substituted for primary antibody was run along side of each reaction.

TdT-Mediated dUTP Nick End Labeling

Paraffin embedded tissue sections or chondrocyte cell cultures were labeled with DeadEnd Colorimetric Apoptosis Detection System (Promega Corp., Madison, WI, USA) as previously published.³² Briefly, the paraffin embedded tissue sections or cell cultures were treated with proteinase K and labeled with TdT reaction mix containing equilibration buffer, biotinylated nucleotide mix and TdT enzyme. The negative control was carried out identically to the experimental labeling with the exception of TdT enzyme. Streptavidin-HRP and DAB (Dako Corporation) substrates were used for visualization.

Overexpression of *Nell-1* in Primary Chondrocytes

Goat auricular cartilage was minced to 1 mm³ pieces and digested with 0.25% trypsin/1 mM EDTA at room temperature for 30 min, followed by 3 mg/ml collagenase II (Sigma, St Louis, MO, USA) digestion with shaking at 37°C for 6 h. The cell suspension was filtered through a 70 μm strainer and the chondrocytes were then pelleted by centrifugation. After washing with PBS, the cells were cultured in DMEM (Gibco BRL, Grand Island, NY, USA) plus 10% fetal calf serum (Hyclone, Logan, UT, USA), 100 U/ml penicillin and 100 mg/l streptomycin at 37°C with 5% CO₂. Second passage cells were used for gene transfer studies. Briefly, 1.8 × 10⁵ chondrocytes were seeded on a six-well plate 1 day before gene transfer. An adenovirus containing the *Nell-1* full length cDNA coding sequence (*AdNell-1*) was constructed and used as previously described for gene transfer.²⁸ Chondrocytes were transduced with 50 and 200 pfu/cell for 1 h using *AdNell-1* or *AdLacZ* for control. The culture medium was changed to DMEM containing 2 mmol/l L-glutamine, 10⁻⁸ mol/l dexamethasone, 50 μg/ml ascorbic acid, 10 mmol/l β-glycerophosphate (Sigma) 3 days post-transduction. Flow cytometry and TdT-mediated dUTP nick end labeling (*TUNEL*) analysis were performed 6 and 9 days after gene transfection, respectively. Proteins from additional cells were harvested in cell lysis buffer using standard protocols and used for Western blot analysis with anti-*Nell-1*²⁶ and anti-β-actin antibodies (Sigma).

Flow Cytometry Analysis of Apoptosis in Chondrocytes with Annexin V and PI Staining

AdNell-1 or *AdLacZ* (each 50 pfu/cell) transduced chondrocytes were washed with PBS and then trypsinized. Cell pellets were resuspended in 1 × binding buffer containing 0.01 M HEPES/NaOH, pH 7.4, 140 mM NaCl, and 2.5 mM CaCl₂ at a concentration of 1 × 10⁶ cells/ml. For flow cytometry, 1 × 10⁵ cells were stained with annexin V and propidium iodide (PI) (BD Biosciences, Palo

Alto, CA, USA) for 15 min at room temperature in the dark. The flow cytometry analysis was performed within an hour of staining. The χ² test was used to analyze the selected raw data as a measure of agreement between observed and expected frequencies for profile selections. An *a priori* alpha was set at ($P \leq 0.05$). Biological experiments were repeated three times.

Results

Acrania in Nonviable E15.5 *Nell-1* Overexpression Mice

The percentage of positive transgenic mice was 47.06% (8/17) for E12.5 and 44.44% (16/36) for E15.5 embryos. The relatively lower transgenic rate than predicted (50%) may be due to early miscarriages of some embryos. Viable E12.5 and E15.5 fetuses were phenotypically indistinguishable among transgenic and wild-type littermates. Among E15.5 progeny, 16 *Nell-1* transgenic positive mice were detected with thirteen viable and three nonviable embryos (18.75%) showing exencephalic-like phenotypes (Figure 1a). Calvarial bone defects in the foramina displayed protruding brain tissue. Histologically, most of the cerebral tissues within the cranial cavity were partially covered with dura mater. There is no scalp and skin overlying the protruding brain mass, but the chondrocranial base was intact (Figure 1b and c). The histological characteristics of the abnormal embryos and their occurrence only at relatively late embryonic stage of E15.5 fit the definition of a rare human congenital disease called acrania which is often associated with exencephaly.¹ All positive transgenic mice contained approximately 50 copies of the *Nell-1* transgene as compared to standards of gene copy,²⁸ but their expression levels were highly variable among individuals as detected by immunohistochemistry (data not shown). A significant upregulation of *Nell-1* production was distributed in all cells of brain and dura mater in transgenic mice with acrania, while *Nell-1* was produced only in restricted areas of wild-type mouse tissues (Figure 1d). Furthermore, *in situ TUNEL* demonstrated drastically increased apoptosis in several areas including the protruding brain mass and dura mater (Figure 1e and f).

Fas and Fas-L Production in E15.5 and E12.5 *Nell-1* Overexpression Mice

Previously, microarray analysis of MC3T3-E1 osteoblasts overexpressing *Nell-1* reported an upregulation of genes associated with cell death, most notably Fas.²² Thus, the expression of Fas and Fas-L were investigated in E15.5 mice, as these mice displayed early evidence of the phenotype. The data demonstrated markedly increased Fas receptor

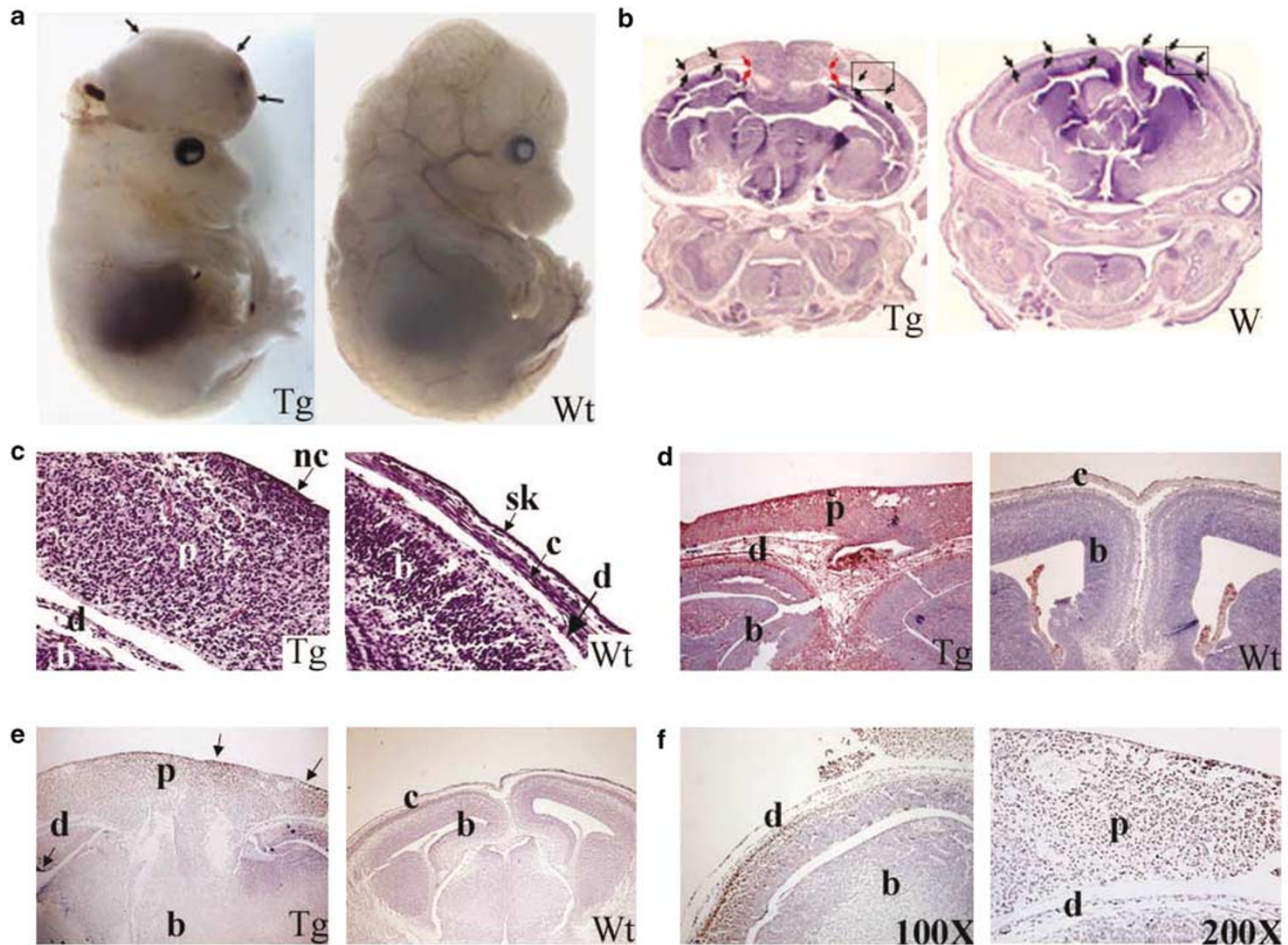


Figure 1 Acrania in non-viable E15.5 *Nell-1* transgenic embryos. (a) Whole mount photo of an E15.5 *Nell-1* transgenic mouse with acrania (left panel) and a wild-type littermate (right panel). Note the exencephaly-like phenotype as indicated by black arrows. (b) H&E staining on a coronal section of a *Nell-1* transgenic mouse (left panel) and wild-type littermate (right panel) head. Black arrows indicate the dura mater of transgenic mice, but the skin, calvaria, and dura mater of wild-type mice. Red arrows indicate the disrupted dura mater of transgenic mice. Areas within black boxes are magnified and further analyzed. (c) Highly magnified images of H&E staining of the brain protruding from a transgenic (left panel) and normal brain and calvaria of wild type (right panel) mice head. (d) Immunohistochemistry detected intense *Nell-1* production in the brain and dura mater of *Nell-1* transgenic mice (left panel) and restricted and reduced staining in wild-type mice (right panel). (e) *In situ* TUNEL analysis of the protruding brain tissue from the *Nell-1* transgenic mouse (left panel) and wild-type mouse (right panel) with apoptotic cells stained brown. Arrows point to representative apoptotic cells. (f) Magnified sections of *in situ* TUNEL staining on *Nell-1* transgenic mouse sections. Original magnification: $\times 25$ (b), $\times 40$ (e), and $\times 100$ (d, f left panel), $\times 200$ (c, f right panel). Tg-transgenic; Wt-wild type; p-protruding brain; c-calvaria; b-brain; sk-skin, d-dura mater; nc-neural cells.

(Figure 2a) and moderately increased Fas-L (Figure 2b) production within the protruding brain and dura mater of *Nell-1* transgenic mice as compared to the normal brain and calvaria of the wild-type littermates. The increase of both Fas and Fas-L within the protruding brain correlated to the increased apoptosis in this area as demonstrated by *in situ* TUNEL staining in Figure 1e. To further examine the mechanism of increased apoptosis in *Nell-1* transgenic mice, apoptosis, Fas, and Fas-L production were analyzed at an earlier stage (E12.5). E12.5 *Nell-1* transgenic embryos did not show a phenotype, yet did demonstrate increased *Nell-1* production as compared to wild-type littermates (Figure 2c). Interestingly, E12.5 *Nell-1* transgenic mice revealed dramatically increased Fas production as compared to wild-type mice (Figure 2d), but neither Fas-L nor

TUNEL staining demonstrated significant differences at this early time point (Figure 2e and f). The lack of significant apoptosis within *Nell-1* transgenic mice may at least partially account for the lack of phenotype in these animals at this time. The mandible of *Nell-1* transgenic mice was morphologically normal and the Meckel's cartilage did not display differential apoptosis rates as compared to wild-type littermates (data not shown).

Increased Apoptosis Associated with Deformed Chondrocranium in E15.5 and Newborn Craniosynostotic Mice

As previously reported, newborn *Nell-1* transgenic mice show varied degrees of craniosynostosis (CS).²⁸

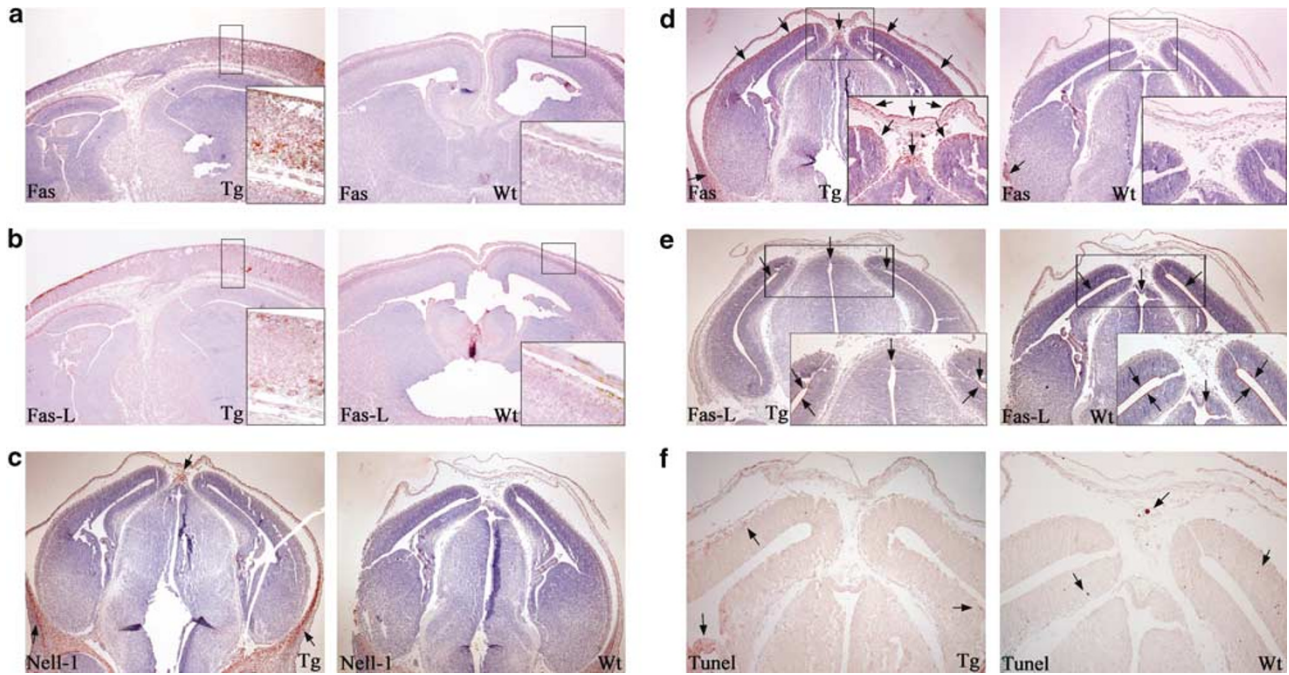


Figure 2 Fas and Fas-L production in E12.5 and E15.5 *Nell-1* transgenic embryos. (a) Fas and (b) Fas-L immunostaining in the protruding brain and dura mater/calvaria of an E15.5 *Nell-1* transgenic mouse embryo exhibiting acrania phenotype (left panel) as compared to a wild-type littermate (right panel). Representative areas are magnified in the lower right corner. (c) *Nell-1* immunostaining in an E12.5 *Nell-1* transgenic (left panel) and wild-type (right panel) mouse embryo. (d) Fas and (e) Fas-L immunostaining in an E12.5 *Nell-1* transgenic (left panel) and wild-type (right panel) mouse embryo. Arrows point to areas of high intensity staining (red). Areas in black boxes are magnified in the lower right corner. (f) *In situ* TUNEL analysis in E12.5 *Nell-1* transgenic mice (left panel) and wild-type (right panel) embryos. Black arrows point to red areas representing positive staining. Original magnification: $\times 40$ (a–e), $\times 100$ (f and lower right panel of e), and $\times 200$ (lower right panels of a, b, and d).

The data from the current study as well as other reported cases²² described two newborn *Nell-1* transgenic mice out of three litters (2/19, 10.53%) that survived to birth and displayed as encephalocele and a calvarial bone defect found in the occipital region (Figure 3a). *In situ* TUNEL identified increased apoptosis in the calvarial bone osteoblasts of these newborn *Nell-1* transgenic mice as compared to wild-type littermates (Figure 3b). Coordinately, we also observed premature hypertrophy and apoptosis of chondrocytes throughout the distorted chondrocranium as compared to wild-type littermates (Figure 3c). The noted distortion of the chondrocranial morphology is similar to that seen in human cases of CS, such as those with Apert's and Crouzon's syndromes.³³ It is possible that increased chondrocyte apoptosis in *Nell-1* transgenic mice may relate to or contribute to malformation of the chondrocranium. Additionally, H&E staining identified prematurely hypertrophic chondrocyte islands within the chondrocranium of E15.5 *Nell-1* transgenic mouse embryos, but not in wild-type littermates (Figure 3d, left panel). *In situ* TUNEL staining revealed increased apoptosis within the chondrocranium of E15.5 *Nell-1* transgenic mice (Figure 3d, right panel). Although chondrocytes in the chondrocranium will eventually hypertrophy, our data indicated that this event was exaggerated and occurred at an earlier stage in *Nell-1* transgenic

mice. Furthermore, Fas was highly produced in the cartilaginous chondrocranium of *Nell-1* transgenic newborn mice as compared to wild-type littermates (Figure 3e), while Fas-L production was similar among the littermates (data not shown). Premature apoptosis and the appearance of empty lacunae with decreased matrix suggest a disruption in the pathway of endochondral bone formation in these *Nell-1* transgenic mice. Both *Nell-1* transgenic and wild-type littermates displayed equivalent levels of Fas production in Meckel's cartilage (data not shown).

Increased Apoptosis of Ad*Nell-1* Transduced Chondrocytes *In Vitro*

Nell-1 transgenic mice displayed increased chondrocyte apoptosis in the distorted chondrocranium. Thus, we further characterized the role of *Nell-1* in cultured chondrocytes (Figure 4a and b). With increased amounts of Ad*Nell-1* transduction (50 and 200 pfu/cell), cell cultures appeared more apoptotic with round and uplifted cells. To confirm the production of *Nell-1* in these cultures, Western blot analysis revealed increased *Nell-1* production upon transduction with 50 and 200 pfu/cell of Ad*Nell-1* as opposed to untransduced or Ad*LacZ* transduced chondrocytes (Figure 4c). *In situ* TUNEL

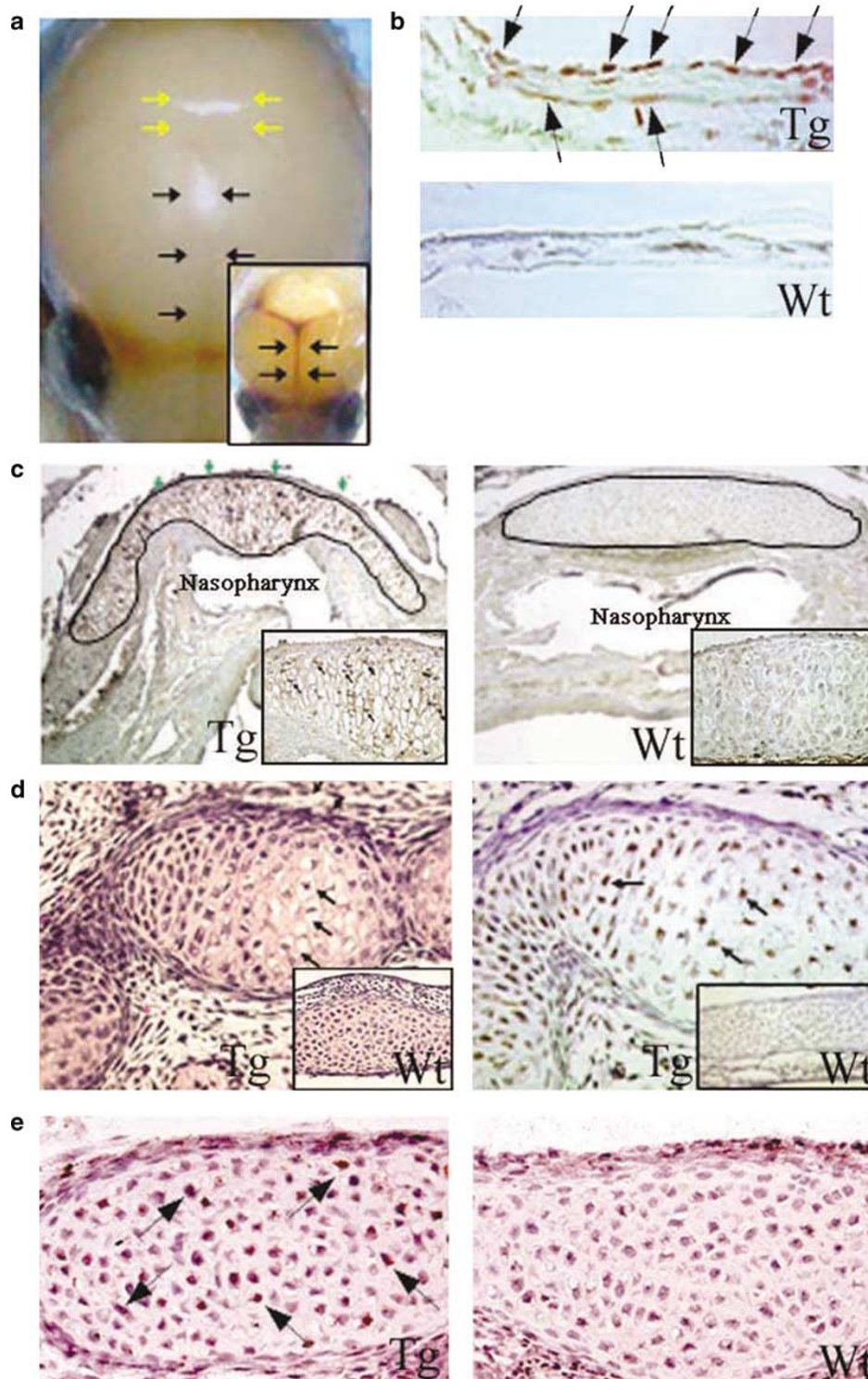


Figure 3 Apoptosis in newborn *Nell-1* transgenic mice. (a) A newborn *Nell-1* transgenic mouse whole head mount with wild-type head (lower right panel). Black arrows point to the posterior frontal and sagittal suture, while yellow arrows point to the protruding brain in the calvarial defect region. (b) *In situ* TUNEL analysis of a coronal section of newborn *Nell-1* transgenic mouse calvarial bone (upper panel) and wild-type littermate calvaria (lower panel). Black arrows point to brown stained apoptotic osteoblast cells. (c) *In situ* TUNEL analysis of a coronal section of the chondrocranium region of the *Nell-1* transgenic mouse (left panel) and wild-type mouse (right panel). Green arrows indicate the distorted chondrocranium (outlined by black line). Representative cartilaginous tissues with apoptotic chondrocytes are magnified in the lower right corner. (d) H&E staining (left panel) and *in situ* TUNEL analysis (right panel) of *Nell-1* transgenic and wild-type (lower right panels) E15.5 mouse chondrocranium. Black arrows point to hypertrophic chondrocytes or brown stained apoptotic cells. The TUNEL sections are counter stained with hematoxylin. (e) Fas immunostaining in *Nell-1* transgenic (left panel) and wild-type (right panel) newborn littermate chondrocranium. Original magnification: $\times 40$ (c), $\times 200$ (b, d and lower panels of c and d), $\times 400$ (e). Tg-transgenic; Wt-wild-type.

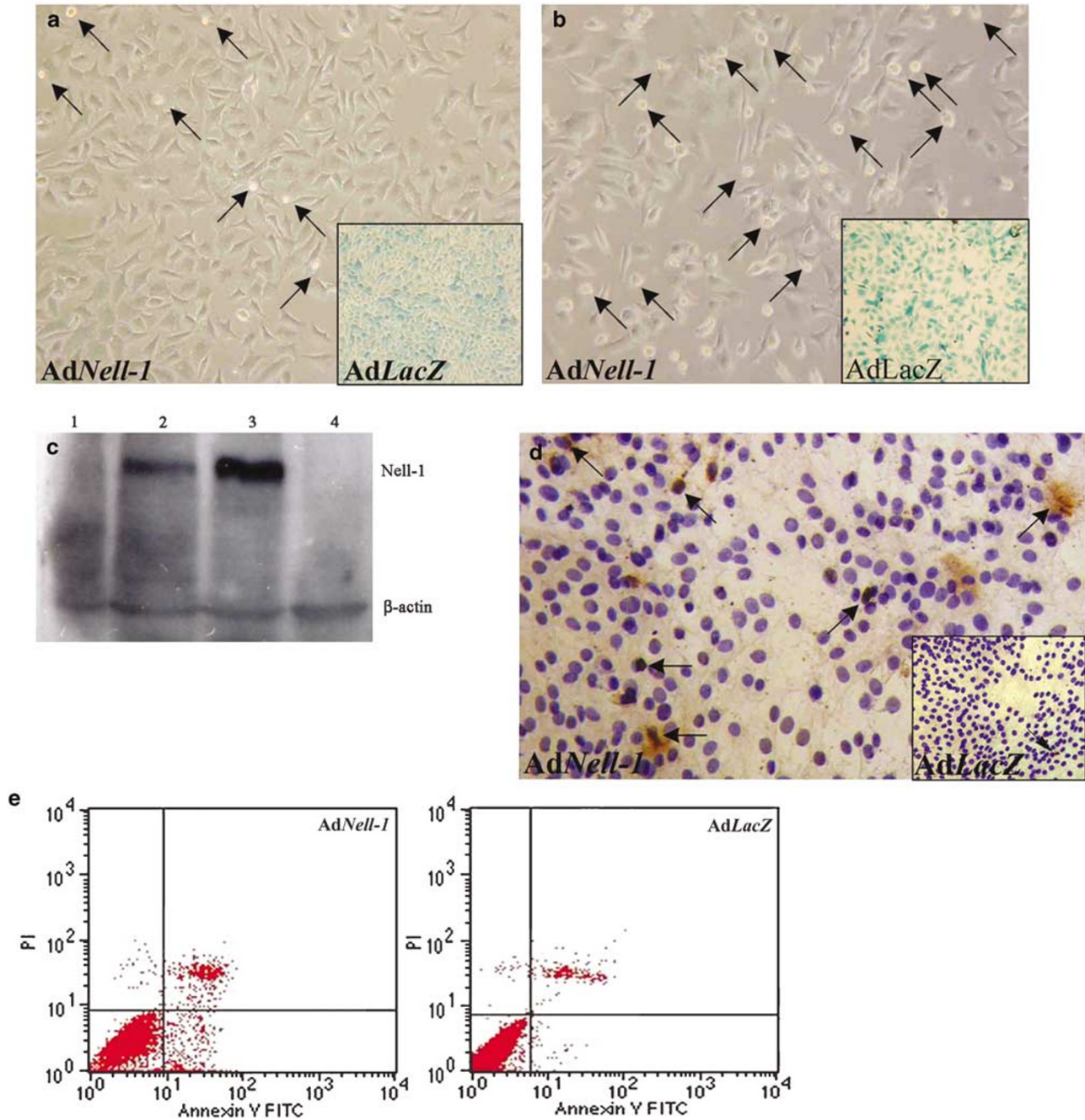


Figure 4 Apoptosis in cultured chondrocytes. White light image of cultured chondrocytes transduced with (a) 50 pfu/cell *AdNell-1* or (b) 200 pfu/cell *AdNell-1* and with *AdLacZ* control in the lower right corner, nine days after transduction. Black arrows point to apoptotic cells. (c) Western blot analysis of *Nell-1* protein production in chondrocytes, three days after transduction with *AdNell-1*. Lane 1—untransduced cells; lane 2—50 pfu/cell *AdNell-1*; lane 3—200 pfu/cell *AdNell-1*; lane 4—*AdLacZ*. (d) *In situ* TUNEL analysis of cultured chondrocytes transduced with *AdNell-1* (left panel) or *AdLacZ* (right panel), six days after transduction. Cells are labeled with Annexin V and propidium iodide (PI) for analysis. Note the significantly ($P < 0.001$) increased number of apoptotic cell stained with high levels of Annexin V or Annexin V/PI and found in the lower and upper right quadrant of *AdNell-1*, as opposed to *AdLacZ*, transduced cells.

analysis demonstrated that cultured chondrocytes displayed increased apoptosis at day 9 after transduction with *AdNell-1* when compared to control *AdLacZ* (Figure 4d). Additionally, increasing amounts of *AdNell-1* transduction and *Nell-1* protein production resulted in elevated cell death rates.

Flow cytometry further confirmed a significantly increased apoptotic rate in *AdNell-1* as opposed to *AdLacZ* transduced chondrocytes (Figure 4e), even at day 6 post-transduction. These results further verified the *in vivo* findings of *Nell-1* overexpression induced apoptosis in chondrocytes.

Discussion

Neural tube defects arise from a variety of heterogeneous mechanisms.^{34,35} For this reason, NTDs can be secondary to defects occurring in non-neural developmental systems.⁶ We have previously identified exencephaly-like characteristics in *Nell-1* transgenic embryos.^{22,28} Our previous studies have demonstrated the role of NELL-1 in human and murine CS,^{22,23,28} while the current studies were performed to further investigate the effects of *Nell-1* overexpression on mouse embryonic development and the corresponding molecular mechanism.

In the current study, additional *Nell-1* transgenic mice were examined due to the presence of NTDs found at E15.5. In addition to two litters previously reported, we examined two additional litters of E15.5 embryos as well as E12.5 mouse embryos from timed-mated *Nell-1* transgenic F1 mice with wild-type littermates. A total of three non-viable exencephaly-like mouse embryos out of 16 *Nell-1* transgenic E15.5 embryos (3/16, 18.75%) were identified. It has been suggested that the penetrance of NTDs can be affected at the genetic level and likely modified by environmental factors such as dietary intake.³⁶ This finding points to the complexity and heterogeneity of the pathogenesis of NTDs, which involves the regulation of NTD-associated genes and metabolic pathways. The phenotypes of transgenic mice at an earlier stage (E12.5) were indistinguishable and had normal appearances. A histological analysis of the abnormal E15.5 transgenic mice embryos showed features of a postneurulation NTD called acrania, characterized by a complete absence of the calvarial bone and abnormal brain development. It differed from primary acalvaria, in which the absence of calvarial bone, dura mater, and intact overlying skin are the hallmarks of pathological changes. Furthermore, it also differed from open NTD exencephaly, with which acrania was frequently accompanied. Practically, it is difficult to distinguish exencephaly from acrania morphologically, because sometimes both can show partial or complete absence of membranous flat bones of the neurocranium with abnormal brain development and commonly intact skull base. However, mechanistically, exencephaly usually occurs at early embryonic stage prior to the closure of neural tube, while acrania is a postneurulation NTDs.³ Our current data strongly suggested that overexpression of *Nell-1* could induce the acrania-like phenotype in mouse embryos at relatively late gestation stage; making *Nell-1* a novel NTD-associated molecule.

Previously, we reported that a *Nell-1* transgenic mouse model, exhibiting generalized *Nell-1* overexpression, resulted in increased osteoblast differentiation, followed by an increase in osteoblast apoptosis.²² In that model, *Nell-1* exerted its apoptotic effects on differentiated osteoblasts rather than undifferentiated mesenchymal cells. Consequently, the upregulation of *Nell-1* in the calvaria did not

decrease the osteogenic population, but instead enhanced the natural progression of osteoblast differentiation leading to increased bone formation. The enhanced differentiation may also play an important role in cranial suture closure or CS. Those findings suggested that apoptosis plays a role in altered calvarial bone formation.²²

Neural cells/neuroblasts within the protruding brain of *Nell-1* transgenic mice demonstrated massive apoptosis as compared to the normal brain of wild-type littermates. The process of apoptosis has been suggested to be tightly linked to cell division^{37,38} both representing a time when neurons are unable to migrate within the brain.³⁹ Thus, it is possible that the inability of the apoptotic neural cells to migrate, may have contributed to acrania in this model, as seen in other models.^{19,40-42} Specifically, *Msx2* transgenic mice display increased death of CNC cells, resulting in a cell number deficiency in branchial arches associated with craniofacial morphogenesis.⁴¹ Interestingly, *Msx2* induces apoptosis in CNC cells of rhombomeres 3 and 5,⁴³ but increases proliferation of osteoblasts in the osteogenic front of postnatal mice,⁴⁴ leading to exencephaly-like NTDs. This observation is recapitulated in *Tcof1* heterozygous mice and *Tulp3* transgenic mice, which display increased neuroepithelial apoptosis during development, exencephaly, and premature death.^{19,42} Thus, the various allele deficiencies result in similar phenotypes. An evaluation of the signaling pathways in the various transgenic animals could possibly identify similar mechanisms of action, leading us closer to preventative measures in humans. The data suggest that the mechanism of *Nell-1* overexpression-induced acrania may be the coordinated induction of apoptosis in several cell types, a finding also reported in *Msx2* transgenic mice.⁴¹

Nell-1 has been previously reported to signal through the PKC pathway,²⁵ which is known to induce apoptosis in osteoblasts.⁴⁵ In fact, in other systems, PKC activation has also induced FasL gene transcription.⁴⁶ A predominant pathway of apoptosis in calvarial osteoblasts,^{20,21,47,48} and brain^{31,49-51} is the Fas mediated pathway. In this pathway, Fas-L activates the Fas receptor to trigger several signaling cascades including Fas-associated death domain (FADD) protein, receptor interacting protein (RIP), or Fas death domain-associated protein (DAXX) and eventually leading to cell death⁵²⁻⁵⁶ Previously, we have reported an upregulation of Fas antigen by overexpression of *Nell-1* in MC3T3-E1 osteoblasts in culture.²² Currently, we present the *in vivo* upregulation of Fas and/or Fas-L within the calvaria, protruded brain, and chondrocranium of E12.5 and E15.5 *Nell-1* transgenic mice. The occurrence of Fas upregulation was prior to the onset of apoptosis and phenotypic changes, suggesting that increased Fas signaling was one of the possible mechanisms responsible for increased apoptosis and thus the NTDs in these mice.

An interesting finding of our study was that *Nell-1* transgenic mice exhibited common severe craniofacial anomalies also observed in humans, including both acrania and encephalocele. Interestingly, the pathology of both phenotypes involves apoptosis of calvaria, brain, and cartilage. It is possible that they are caused by different mechanisms; acrania may be induced by apoptosis and CNC differentiation defects, while encephalocele may be caused by mechanical factors that increase intracranial pressure and push the brain tissue to herniate. The data suggest that while a moderate upregulation of *Nell-1* expression leads to accelerated osteoblast differentiation and craniosynostosis, an exaggerated overexpression of *Nell-1*, such as in the pathologic conditions observed in several *Nell-1* overexpression mice, induced increased apoptosis and resulted in NTDs such as acrania (Figure 5). However, this is a highly simplified mechanistic hypothesis of NTDs involving *Nell-1* overexpression. It emphasizes that *Nell-1*-induced acrania may be due to either the enhanced apoptosis that leads to a decrease in mineralization, a disruption in cell migration, or a combination of these mechanisms. Additionally, *Nell-1* expression is upregulated by FGF-2 and TGF- β 1 signaling (unpublished data), also known to affect suture biology. Although we have no data relating exact copy number to the level of transgene expression, the forced expression of *Nell-1* on CNC cells during development induced NTDs and thus demonstrated *Nell-1*'s pharmacological effect. These data suggest that increased severity of the phenotype is closely associated with increased expression of *Nell-1*.

Another interesting observation in transgenic E15.5 embryos and newborns was the significant number of apoptotic chondrocytes in the chondrocranium that also appeared hypertrophic. The origin of cells within the chondrocranium varies, with the neurocranium (surrounding the brain) of mixed mesenchymal and neural crest origin and the viscerocranium (forming facial bones) of neural crest origin.^{57,58} Although *Nell-1* is preferentially expressed by CNC cells, it is not exclusively expressed by these cells. Additionally, the cellular targets of *Nell-1* have not been elucidated due to the fact that the *Nell-1* receptor has not been identified.

The resulting malformed chondrocranium was analogous to that observed in Apert's and Crouzon's syndromes,³³ including spheno-occipital and petro-occipital synchondrosis.⁵⁹ This result was not reported in our previous publication, because of the inability of microCT scans to detect unmineralized cartilage.²⁸ In the above mentioned syndromes, craniofacial pathogenesis may have had primitive alterations of the cartilaginous template from which the endochondral bones are derived. The premature fusion of the synchondrosis of the cranial base causes distortion development, suggesting mechanical effect. Interestingly, the current data suggests a cellular etiology.

Nell-1's ability to induce apoptosis in chondrocytes was further verified by increased apoptosis of cultured chondrocytes transduced with a high dose of Ad*Nell-1*. Like the *in vivo* findings, the transduced chondrocytes increased apoptosis as compared to Ad*LacZ* transduced cells. Also, the subcutaneous implantation of scaffold-supported chondrocytes transduced with Ad*Nell-1* can produce a bone-like tissue mass consisting of highly hypertrophic chondrocytes in comparison with Ad*LacZ* control (unpublished data). This further indicated that *Nell-1* plays important roles on the differentiation or maturation of chondrocytes.

A debate continues about whether the cranial base deformities observed in these CS syndromes are primary or secondary to suture fusion. Virchow believed that the cranial base malformation is secondary to constraints resulting from premature suture closure.⁶⁰ However, recent evidence suggests that the cartilaginous cranial base is primarily involved.⁶¹ Additionally, the current data suggests an association between *Nell-1* overexpression-induced apoptosis and the malformation of the cranial base, although the data does not directly prove a cause and effect relationship. This suggests that the deformed cranial base resulted from the cellular mechanisms of apoptosis, mechanical compression, or most likely both.

Although the upregulation of *Nell-1* expression affected the pathology of craniofacial development, it did not have a significant effect on mandibular development. This result was unexpected as cells within the mandible originate both from CNC and

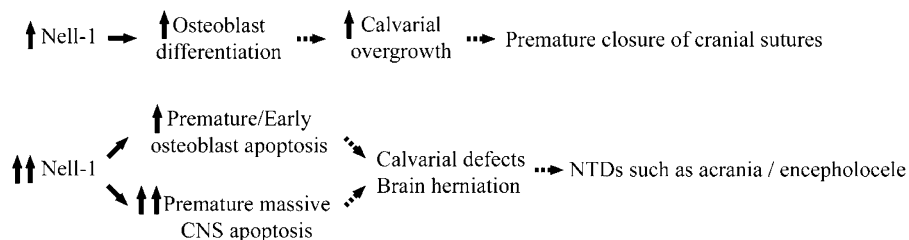


Figure 5 Hypothetical model of *Nell-1* signaling in craniofacial deformities. Moderate upregulation of *Nell-1* induces osteoblast differentiation. This results in calvarial bone overgrowth and consequently premature suture closure. Exaggerated *Nell-1* overexpression in certain stages of embryonic development induces premature/early osteoblast apoptosis and therefore reduces the number of osteoblasts forming bone. Defects in calvarial bone formation result in craniofacial anomalies such as acrania/ exencephaly or encephalocele. Dashed lines represent potential pathways of modulation.

non-CNC derived cells.⁶² Similar findings have also been demonstrated in an *AP-2* deficient mouse model where most achordal bone formation (of CNC origin) was deformed and other bones of CNC origin, such as the hyoid, Meckel's cartilage, and mandibles, were indistinguishable from wild-type.⁶³ In normal mandibular development, apoptosis in the bone and Meckel's cartilage of the mandible occurs through the Fas-Fas-L pathway.⁶⁴ Pathologically, Meckel's cartilage is formed early in development^{65,66} and is ultimately degraded and replaced by intramembranous bone,⁶⁷ acting as a frame for mandibular bone formation.⁶⁸ Although chondrocytes located at the posterior end of Meckel's cartilage do ossify to form the malleus and incus bones of the inner ear,⁶⁹ chondrocytes within the body of Meckel's cartilage do not express type X collagen, mineralize, or form bone.^{70,71} In *Nell-1* transgenic mice, the number of apoptotic cells within the Meckel's cartilage was equivalent to that in wild-type mice (data not shown), thus the anatomy of this bone was not altered. Although the underlying reason is unknown, we speculate that the normal occurrence of apoptosis in this tissue could not be further affected by the increased presence of *Nell-1*, due to the non-osteogenic fate of cells within Meckel's cartilage and the fact that *Nell-1*'s effects are restricted to osteochondral bone formation.

In conclusion, our results suggest that *Nell-1* overexpression induced dysregulation of CNS and CNC cells during cranial development. These effects resulted in craniofacial developmental deformities including acrania at relatively late embryonic stage E15.5 and encephalocele in addition to craniosynostosis in neonatal stage, which may be related to massive apoptosis of osteoblasts, neural cells, and chondrocytes through the Fas signaling pathway. These developmental defects demonstrate multifactorial inheritance patterns in human populations, suggesting a complex interplay of genetic factors, which may be targeted by the *Nell-1* protein or more complicated networks involving other NTD-associated molecules and contributions from epigenetic factors. Thus far, it remains unknown as to which mouse gene homologues plays a key role in the etiology of human NTDs, as no convincing definition of a predisposing allele involved in more than one individual NTD case in humans has been identified.⁷² Further elucidation of the *Nell-1* signaling cascade may provide insight into the mechanism of NTD formation as well as other craniofacial anomalies in humans.

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Duality of interest

Dr Kang Ting and Dr Xinli Zhang are co-founders of Bone Biologics Inc., which owns the license on the *Nell-1* patent from UCLA.

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